

# ***Standards Matrix***

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## Standards Matrix

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## Standards Matrix

The mission of NCPDP is clear: to create and promote data interchange standards for the pharmacy services sector of the health care industry, and to provide information and resources that educate the industry and support the diverse needs of our members. As needs within the industry are identified, standards are updated to a new version or release. The following is a high-level overview of the latest version/release and/or the most commonly used of those standards and implementation guides, as well as NCPDP's Data Dictionary and External Code List. Additionally, this document provides version/release/publication reference charts for approved and draft NCPDP standards/implementation guides.

### **Legend for the reference charts:**

#### Column 1

Contains the Name of the Standard or Implementation Guide document.

#### Ballot Info

Contains the ballot period and ballot number in which the Standard or Implementation Guide appeared for review by the NCPDP membership and materially affected parties. See <http://www.ncdp.org/BallotsMain.aspx> or [http://www.ncdp.org/Ballot\\_info\\_non\\_members.aspx](http://www.ncdp.org/Ballot_info_non_members.aspx)

#### "DRAFT"

D = Any level of work in progress

Blank = (not applicable)

F = Failed Ballot

#### "Approved NCPDP"

NCPDP Board of Trustees approved and date of approval

#### "Approved ANSI"

ANSI = American National Standards Institute approved and date of approval

#### "Comment"

Contains applicable information.

Republication pertains to a new issuance of the same version/release of the standard/implementation guide with minor changes.

#### "Data Dictionary/ECL/X12 Version"

Contains the effective date of the NCPDP Data Dictionary and the publication date of the External Code List (ECL) that supports the Standard, and/or the X12 Version that should be referenced.

## Version Identification System

A version/release level scheme is in place for the NCPDP documents. Publication changes to implementation guides do not impact a version enumeration. Publication changes are so noted on the publication page of the document, in the appendix of changes in the document, and within this matrix. Any additions, deletions, or modifications to the implementation guide that makes a substantive difference must be approved by process of a ballot. Changes/addition/deletion of values that reside in the External Code List do not require the ballot process and do not have any impact on the version enumeration.

### Versions in Standards without Model-driven Schemas

The scheme consists of either a two-digit or four-digit sequential enumerator depending on the standard. Effective April 2014, versioning was changed in appropriate NCPDP standards to exclude the use of the decimal that separated the “version” and “release” indication. The version and the release are now considered a “version”. For example, new versions will now reflect “Version XX” rather than “Version X Release X” or “Version X.X”. NCPDP implementation-only guides or reference documents will still maintain the decimal distinction. See the [NCPDP Standards Version Usage by ECL Release](#) to identify the versioning that applies to each standard.

Generally, the right character will be incremented through the full cycle of values before incrementing the left character and restarting the right character at 0. Large-scale changes or intentional designation of a new version for HIPAA implementation (for example) may be indicated by advancing the enumerator to the next combination ending with a 0.

### Versions in Model-driven Schemas

Versioning for standards with model-driven schemas are date assignments of CCYMM that are based on the NCPDP Board of Trustees approval date and a single digit sequence number. The sequence number is the unique identification for publications of a standard with the same approval date and adds uniqueness within a date. It will start at “1” for every published version. It is **ONLY** incremented when multiple publications must occur at the same date and is assigned after the standard completes the ballot process and is approved by the Board. (**Format:** CCYMM# where C=Century, Y=Year, M=Month, and #=Sequence Number)

For ease of identification during the ballot process, the draft standards are assigned a temporary version that aligns with the ballot number. Ballot numbers are 8-digit assignments. The first four digits identify the work group that maintains the standard or initiated the ballot and the last four digits are the sequential enumeration of ballots held within the work group. For example, ballot WG110056 is a ballot held by Work Group 11, ePrescribing and Related Transactions, and is the 56<sup>th</sup> ballot held to date by that work group.

A standard in ballot would have a temporary version of CCYxxx#XXXX####. The CCYxxx# would mimic the known values for the final version assignment number and keep the unknown values as x and # (#=sequence number of the schema) respectively. The XXX### represents the ballot number assigned. Using the example of ballot WG110056 above, the draft standard would appear as version 201xxx1WG110056 (“x” in this case represent the unknown year and month of approval and “1” representing the initial iteration of the schema files; where 2, etc. would represent updates to the schema for the same version of the standard). Upon Board of Trustees approval, the reference to the ballot is dropped and the permanent version of the standard is assigned, 201xxx1 (CCYMM#). This string CCYMM# is the version that will be used in the Version fields for the transport, structures, specialized, and “Standard” schemas.

An exception to this versioning assignment applies to the Benefit Integration Standard which incorporates the xml implementation and instructions within the implementation guide. Since Benefit Integration Standard utilizes the two-digit version level reference scheme, the version of the standard remains as “xx” but the version used in the xml Version fields for datatypes, transport, structures, transaction is Blvxx (BI=Benefit Integration; v=Version; and xx=the applicable version of the Benefit Integration Standard Implementation Guide.) No sequence number of the schema is applicable.



## Audit Transaction Standard Implementation Guide

The NCPDP Audit Transaction Standard defines the record layout for batch audit transactions between Auditors and Providers. Since the communication between these parties is two-way, the record layout for both the transmitted request and the response to the audit are defined by the standard. The standard supports an electronic audit transaction that facilitates requests, responses, and final outcomes transmissions for both “Desk Top” claim audits and for in-store audit notices. This standard addresses the types of communication between Auditors and Providers and allows that communication to occur in an electronic environment rather than paper-based.

File exchanges include:

*Initial Audit Request/Acknowledgement of Initial Audit Request*  
*Audit Response with Data/Acknowledgement of Response Data*  
*Preliminary Audit Findings/Acknowledgement of Preliminary Audit Findings*  
*Submission of Dispute Data/Acknowledgement of Dispute Data*  
*Final Audit Findings/Acknowledgement of Final Audit Findings*  
*Notice of Intent to Appeal/Acknowledgement of Intent to Appeal*  
*Submission of Audit Appeal with Data/Acknowledgement of Audit Appeal Data*  
*Identification of Hearing Date/Acknowledgement of Hearing Date*  
*Post Audit Determination/Acknowledgement of Post Audit Determination*

Standards Matrix

Audit Transaction Standard	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/ X12 Version
Audit Transaction Standard Implementation Guide V33	05/2017 WG010075R 02/2017 WG010075		10/2017			NCPDP DD 10/2017 NCPDP ECL 10/2017 through most current
Audit Transaction Standard Implementation Guide V32	11/2016 WG010072R 08/2016 WG010072		04/2017	04/2017		NCPDP DD 04/2017 NCPDP ECL 04/2017 through most current
Audit Transaction Standard Implementation Guide V31	02/2016 WG010070		07/2016	8/2016		NCPDP DD 07/2016 NCPDP ECL 07/2016 through most current
Audit Transaction Standard Implementation Guide V30	11/2013 WG010061		04/2014	04/2014		NCPDP DD 04/2014 NCPDP ECL 04/2014 through most current
Audit Transaction Standard Implementation Guide V2.1	11/2012 MC000005		04/2013	04/2013		NCPDP DD 04/2013 NCPDP ECL 04/2013 through most current
Audit Transaction Standard Implementation Guide V2.0	08/2012 MC000004R		01/2013	01/2013		NCPDP DD 01/2013 NCPDP ECL 01/2013 through most current
Audit Transaction Standard Implementation Guide V1.0	02/2011 WG010045R		07/2011	11/2011		NCPDP DD 07/2011 NCPDP ECL 07/2011 through most current

## Batch Standard Implementation Guide

The NCPDP Batch Format provides practical guidelines and ensures consistent implementation throughout the industry of a file submission standard to be used between pharmacies and processors, or pharmacies, switches, and processors when using the Telecommunication Standard framework. The batch file is to be submitted in a non-real-time mode. The standard eliminates the many proprietary formats by providing one standardized file submission format to be submitted in a non-real-time mode: allows a batch to contain claims from multiple pharmacies at a centralized site to multiple processors via a switch. It allows a batch to contain claims from multiple pharmacies at a centralized site to multiple processors via a switch. This standard utilizes the Telecommunication Standard Version 3.2 or higher as the detail record, therefore supporting one parsing routine for implementers for real-time or batch transmissions of the transactions within this standard.

Detail record exchanges include:

*Any of the Telecommunication Standard transactions (Eligibility, Claim Billing, Information Reporting, etc.)*

*Medicaid Subrogation Standard transactions (Claim Billing, etc.)*

Standards Matrix

Batch Standard	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
<i>Batch Standard Implementation Guide V15</i>	05/2017 WG010075R 02/2017 WG010075		10/2017			NCPDP DD 10/2017 NCPDP ECL 10/2017 through most current
<i>Batch Standard Implementation Guide V14</i>	11/2016 WG010072R 08/2016 WG010072		04/2017			NCPDP DD 04/2017 NCPDP ECL 04/2017 through most current
<i>Batch Standard Implementation Guide V1.3</i>	08/2015 WG010067		01/2016			NCPDP DD 01/2016 NCPDP ECL 01/2016 through most current
<i>Batch Standard Implementation Guide V1.2</i>	08/2005 WG090006R		01/2006		<b><i>Named in the January 2009 modifications to the Health Insurance Portability and Accountability Act (HIPAA) Electronic Transaction Standards; Final Rule (45 CFR Part 162) for use by January 1, 2012</i></b>	NCPDP DD 06/2000 and Above NCPDP ECL 05/2004 through most current  (DD 09/1999 to be used for HIPAA compliance of Batch 1.1 implementation)
<i>Batch Standard Implementation Guide V1.1</i>			12/2003		Specification and Implementation Guide incorporated into one document Support of Version 3.2 or higher Telecommunication Standard.	NCPDP DD 06/2000 and Above
<i>Batch Standard V1.1</i>			01/2000		<b><i>HIPAA-Named Support of Version 3.2 or higher Telecommunication Standard.</i></b> Eligibility transaction via this standard named in the Medicare Modernization Act 42 CFR Part 423 Medicare Program; E-Prescribing and the Prescription Drug Program; Final Rule And Eligibility transaction via this standard Named in 42 CFR Part 423 Medicare Program; Standards for EPrescribing Under Medicare Part D and Identification of Backward Compatible Version of Adopted Standard for EPrescribing and the Medicare Prescriptions Drug Program (Version 8.1); Final Rule	NCPDP DD 06/2000 and Above (DD 09/1999 to be used for HIPAA compliance of Batch 1.1 implementation)
Implementation Guide v1.1			01/2000			
<i>Batch Standard V1.0</i>			02/1996		Support of Version 3.2 Telecommunication Standard.	NCPDP DD 02/1996
Implementation Guide v1.0			02/1996			

## Batch Standard Subrogation Implementation Guide

Subrogation occurs when a payer has paid a claim that is subsequently determined to be the responsibility of another payer. This is commonly referred to as “pay and chase”. The NCPDP Batch Standard Subrogation Implementation Guide provides guidelines for the process whereby payers and PBMs can communicate to other payers reimbursement requests for covered services paid to pharmacy providers for which the other payers are responsible. This implementation uses the Telecommunication Standard and the Batch Standard as frameworks for exchange.

Batch Standard Subrogation	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
Batch Standard Subrogation Implementation Guide V10	02/2017 WG010074		10/2017	10/2017		NCPDP DD 10/2017 NCPDP ECL 10/2017 through most current

## Benefit Integration Standard Implementation Guide

The NCPDP Benefit Integration Standard Implementation Guide is intended to meet an industry need to facilitate the integration and exchange of accumulators between Benefit Partners to administer integrated benefits. It supports the communication of accumulator data in a standard format via transactions that are used to facilitate the delivery and receipt of this information. These transactions provide administrative efficiencies and allow for an industry standard to be used to share accumulator data (such as deductible and out of pocket) between Benefit Partners to administer integrated benefits for a member. The accumulator data is exchanged between trading partners in agreed upon intervals. The exchange is either in batch or real time.

Benefit Integration Standard	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
<i>Benefit Integration Standard Implementation Guide V12 V12 Benefit Integration Standard Examples Guide V10</i>	02/2017 WG010076		07/2017	8/2017		NCPDP DD 07/2017 NCPDP ECL 07/2017 through most current NCPDP Biv12.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd benefitintegration xsd ecl.xsd
<i>Benefit Integration Standard Implementation Guide V11 V11Benefit Integration Standard Examples Guide V10</i>	08/2016 WG010073		01/2017	01/2017	<b><i>This version of the standard introduces XML implementation functionality and guidance (incorporated within the implementation guide) with associated schemas and moves Transmission Examples to a Benefit Integration Standard Examples Guide</i></b>	NCPDP DD 01/2017 NCPDP ECL 01/2017 through most current NCPDP Biv11.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd benefitintegration xsd ecl.xsd
<i>Benefit Integration Standard Implementation Guide V10</i>	08/2014 WG010064		01/2015	01/2015		NCPDP DD 01/2015 NCPDP ECL 01/2015 through most current

## Billing Unit Standard Implementation Guide

Due to the number of processors, fiscal intermediaries, plan administrators, and Medicaid programs, the billing unit standard was created to promote a “common billing unit language” for the submission of prescription claims.

The principal rule of the standard is that there are only three billing units necessary to describe any and all drug products. These billing units are "each", "ml", and "gm". The use of "tablet", "capsule", "kit" and others is not appropriate, since these are dosage forms or package descriptions. Breaking billing units into dosage forms does not add value to the model and violates the goals of the standard. Whether an "each" refers to a tablet, a capsule, a suppository, or a transdermal patch, the price will be the same for each billing unit. Once this definition is in place, the remainder of the standard describes how the various types of pharmaceutical products fit into one of the standard billing units.

The standard addresses billing unit inconsistencies within the health care delivery industry that may result in incorrect reimbursement or difficulties defining what constitutes a billing unit. The standard provide a consistent and well-defined billing unit for use in pharmacy transactions, a method to assign a standard billing unit, reduces the time it takes for a pharmacist to accurately bill a prescription and get paid correctly, provides a standard billing unit for use in calculation of accurate reimbursement and provides a standard size unit of measure for use in drug utilization use/review.

Transaction exchanges include:

*Not applicable in this standard, but is used in other standards*

Billing Unit Standard	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
Billing Unit Standard Implementation Guide v3.1			10/2017		Republication	Not Applicable
Billing Unit Standard Implementation Guide v3.1	02/2015 WG020005		07/2015	07/2015		Not Applicable
Billing Unit Standard Implementation Guide v3.0			07/2014		Republication	Not Applicable
Billing Unit Standard Implementation Guide v3.0			10/2013		Republication	Not Applicable
Billing Unit Standard Implementation Guide v3.0			07/2013		Republication	Not Applicable
Billing Unit Standard Implementation Guide v3.0			04/2013		Republication	Not Applicable
Billing Unit Standard Implementation Guide v3.0			01/2013		Republication	Not Applicable
Billing Unit Standard Implementation Guide v3.0			10/2012		Republication	Not Applicable
Billing Unit Standard Implementation Guide v3.0			07/2012		Republication	Not Applicable
Billing Unit Standard Implementation Guide v3.0			07/2012		Republication	Not Applicable
Billing Unit Standard Implementation Guide v3.0			03/2012		Republication	Not Applicable

Standards Matrix

Billing Unit Standard	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
<i>Billing Unit Standard Implementation Guide v3.0</i>			07/2011		Republication	Not Applicable
<i>Billing Unit Standard Implementation Guide v3.0</i>			08/2010		Republication	Not Applicable
<i>Billing Unit Standard Implementation Guide v3.0</i>			03/2010		Republication	Not Applicable
<i>Billing Unit Standard Implementation Guide v3.0</i>	08/2009 WG020003		01/2010	12/2009	Support of Version 5 and above Telecommunication Standard	Not Applicable
<i>Billing Unit Standard Implementation Guide v2.0</i>			02/2009		Republication	Not Applicable
<i>Billing Unit Standard Implementation Guide v2.0</i>			11/2007		Republication	Not Applicable
<i>Billing Unit Standard Implementation Guide v2.0</i>			01/2007		Republication	Not Applicable
<i>Billing Unit Standard Implementation Guide v2.0</i>			06/2006		Republication	Not Applicable
<i>Billing Unit Standard Implementation Guide v2.0</i>			01/2006		Republication	Not Applicable
<i>Billing Unit Standard Implementation Guide v2.0</i>			06/2005		Republication	Not Applicable
<i>Billing Unit Standard Implementation Guide v2.0</i>	08/2004 WG020002		12/2004	01/2005	Support of Version 5 and above Telecommunication Standard Specification and Implementation Guide incorporated into one document	Not Applicable
<i>Billing Unit Standard v1.4</i> Implementation Guide v1.4			09/1997 11/2000		Support of Version 5 and above Telecommunication Standard	Not Applicable
<i>Billing Unit Standard v1.3</i>			02/1997		Support of Version 3.3-4.2 Telecommunication Standard	Not Applicable
<i>Billing Unit Standard v1.2</i>			05/1996		Support of Version 3.3-4.2 Telecommunication Standard	Not Applicable



## Data Dictionary

The Data Dictionary contains names, definitions and other information on all of the data elements used in all NCPDP Standards. The definitions support the various file and telecommunication formats that have been approved by the NCPDP membership.

The data element definitions should be used by all persons who want to know when, where and how specific data elements are used in the approved file formats.

Please see the External Code List for a list of value codes with descriptions for data elements used within specified NCPDP Standards.

Please see within this document the **Data Dictionary/ECL/X12 Version** column for use of the appropriate release of the Data Dictionary and External Code List for applicable standards. The release of a Data Dictionary is directly related to a version/release of a standard and that release must be used for that particular version/release of the standard. The External Code List is republished with each new release of the Data Dictionary but allows for use of the values from a certain release date forward except where limited use is specifically stated.

**Note:** If you are looking for the NCPDP Adjustment/Reason Codes for payment information cited in the X12 HIPAA implementation guides, these are called “Reject Codes” in NCPDP vernacular. The codes are contained in the External Code List under data element Reject Code (511-FB).

## External Code List (ECL)

The External Code List is a list of value codes with descriptions for data elements used within specified NCPDP Standards. The actual data elements appear in the main Data Dictionary. The codes with descriptions appear in the **External Code List**. A reference to the ECL is made in the Values column of the Data Dictionary for applicable fields. Data Element values contained in the External Code List may be added to, modified, and/or deleted by the submission of a Data Element Request Form (DERF). DERFs approved for such requests will result in a new release of the ECL and will not require a version/release change to applicable NCPDP Standards. (See Process Overview for External Code List)

**Note:** If you are looking for the NCPDP Adjustment/Reason Codes for payment information cited in the X12 HIPAA implementation guides, these are called “Reject Codes” in NCPDP vernacular. The codes are contained in the External Code List under data element Reject Code (511-FB).

<i>External Code List Publication Date</i>
10/2017
07/2017
04/2017
01/2017
10/2016
07/2016
04/2016
01/2016
10/2015
07/2015
04/2015
01/2015
10/2014
07/2014
04/2014
01/2014
10/2013

<i>External Code List Publication Date</i>
07/2013
04/2013
01/2013
10/2012
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09/2010
06/2010
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<i>External Code List Publication Date</i>
04/2009
10/2008
06/2008
01/2008
07/2007
04/2007
01/2007
10/2006
09/2006
10/2005
10/2005
07/2005
01/2005
10/2004
08/2004
05/2004
11/2003

When a new standard or a new Version/Release of an existing standard is released, an ECL release occurs, when applicable, that contains the additions and/or modifications of data elements and/or values for all affected standards. For a specific Version/Release of a standard, the ECL releases are upwardly compatible but not downwardly compatible.

For example, applicable field and value additions and modifications for Version/Release 10.0 of the SCRIPT Standard were made to the ECL of October 2006. Implementers of SCRIPT v10.0 cannot use ECL releases earlier than October 2006 since those SCRIPT v10.0 data elements and value additions and/or modifications would not be reflected in earlier ECL releases. Besides the October 2006 ECL, implementers of the SCRIPT v10.10 may also use later ECL release that could reflect new data element values applicable to SCRIPT v10.10.

The table below reflects the initial release of the ECL for applicable NCPDP Standards and Implementation Guides and usage moving forward.

<i>NCPDP Standards Version Usage by ECL Release</i>		
<i>Standard</i>	<i>Version/Release</i>	<i>ECL Date</i>

<b>NCPDP Standards Version Usage by ECL Release</b>		
<b>Standard</b>	<b>Version/Release</b>	<b>ECL Date</b>
<b>Audit Transaction Standard</b>		
	1.0	July 2011 forward
	2.0	January 2013 forward
	2.1	April 2013 forward
	30	April 2014 forward
	31	July 2016 forward
	32	April 2017 forward
	33	October 2017 forward
<b>Batch</b>		
	1.2	May 2004 forward
	1.3	January 2016 forward
	14	April 2017 forward
	15	October 2017 forward
<b>Benefit Integration Standard</b>		
	10	January 2015 forward
	11	January 2017 forward
	12	July 2017 forward
<b>Financial Information Reporting</b>		
	1.0	January 2008 forward
	1.1	October 2009 forward
	1.2	January 2010 forward
	13	January 2017 forward
	14	July 2017 forward
<b>Formulary and Benefit</b>		
	1.0	October 2005 forward
	2.0	June 2008 forward
	2.1	October 2008 forward
	3.0	December 2010 forward
	4.0	January 2013 forward
	4.1	October 2013 forward
	42	October 2014 forward
	43	January 2015 forward
	44	October 2015 forward
	50	October 2016 forward
	51	July 2017 forward
<b>Manufacturer Rebate*</b>		
	03.02	November 2003 forward
	04.01	April 2007 forward
	05.00	December 2010forward
	05.01	July 2011forward
	06.00	January 2013 forward
	06.01	July 2013 forward

<b>NCPDP Standards Version Usage by ECL Release</b>		
<b>Standard</b>	<b>Version/Release</b>	<b>ECL Date</b>
	07.00	January 2014 forward
	07.01	April 2014 forward
<b>Medicaid Subrogation</b>		
	3.0	July 2007 forward
	4.0	January 2013 forward
<b>Medical Rebate Data Submission*</b>		
	01.00	July 2011forward
	02.00	January 2013 forward
	02.01	April 2013 forward
	02.02	April 2014 forward
<b>Operating Rule for Connectivity*</b>		
	1.0	July 2011forward
	1.1	July 2011 forward
	1.2	October 2015 forward
<b>Pharmacy And/Or Combo ID Card (Health Care ID Card)*</b>		
	2.0	October 2006 forward
	3.0	October 2009 forward
	4.0	July 2011forward
	4.1	April 2014forward
	4.2	July 2014forward
	4.3	October 2015 forward
	5.0	October 2017 forward
<b>Post Adjudication</b>		
	1.0	September 2006 forward
	2.0	January 2008 forward
	2.1	March 2009 forward
	2.2	June 2010 forward
	2.3	April 2011 forward
	3.0	October 2011 forward
	3.1	July 2012 forward
	4.0	January 2013 forward
	4.1	January 2013 forward
	4.2	April 2013 forward
	43	April 2014 forward
	44	October 2014 forward
	45	July 2016 forward
	46	April 2017 forward
	47	October 2017 forward

<b>NCPDP Standards Version Usage by ECL Release</b>		
<b>Standard</b>	<b>Version/Release</b>	<b>ECL Date</b>
Prescription Transfer		
	1.0	January 2008 forward
	1.1	January 2010 forward
	2.0	September 2010 forward
	3.0	January 2013 forward
	3.1	January 2013 forward
	3.2	October 2013 forward
	33	July 2014 forward
	34	July 2016 forward
	35	January 2017 forward
	36	July 2017 forward
Prior Authorization Transfer		
	1.0	June 2009 forward
	2.0	January 2013 forward
	21	July 2016 forward
	22	April 2017 forward
Retiree Drug Subsidy		
	1.0	September 2011 forward
	2.0	January 2013 forward
SCRIPT		
	5.0	May 2004 forward
	6.0	October 2004 forward
	7.0	January 2005 forward
	7.1	January 2005 forward
	8.0	July 2005 forward
	8.1	October 2005 forward
	9.0	October 2005 forward
	10.0	October 2006 forward
	10.1	July 2007 forward
	10.2	July 2007 forward
	10.3	January 2008 forward
	10.4	June 2008 forward
	10.5	June 2008 forward
	10.6	October 2008 forward
	10.7	March 2009 forward
	10.8	June 2009 forward
	10.9	June 2009 forward
	10.10	March 2010 forward
	10.11	June 2010 forward
	2010121	December 2010 forward
	2011071	July 2011 forward
	2011091	October 2011 forward

<b>NCPDP Standards Version Usage by ECL Release</b>		
<b>Standard</b>	<b>Version/Release</b>	<b>ECL Date</b>
	2012011	January 2012 forward
	2012031	April 2012 forward
	2013011	January 2013 forward
	2013012	January 2013 forward
	2013041	April 2013 forward
	2013071	July 2013 forward
	2013101	October 2013 forward
	2014041	April 2014 forward
	2014071	July 2014 forward
	2014072	July 2014 forward
	2014101	October 2014 forward
	2015041	April 2015 forward
	2015071	July 2015 forward
	2016041	April 2016 forward
	2016071	July 2016 forward
	2017011	January 2017 forward
	2017071	July 2017 forward
Specialized Standard Implementation Guide		
	2010121	December 2010 forward
	2011071	July 2011 forward
	2012031	April 2012 forward
	2013011	January 2013 forward
	2013041	April 2013 forward
	2013071	July 2013 forward
	2013101	October 2013 forward
	2014041	April 2014 forward
	2014072	July 2014 forward
	2014101	October 2014 forward
	2015041	April 2015 forward
	2015071	July 2015 forward
	2016041	April 2016 forward
	2017011	January 2017 forward
	2017071	July 2017 forward
Telecommunication		
	9.0	May 2004 forward
	A.0	August 2004 forward
	A.1	October 2004 forward
	B.0	May 2005 forward
	C.0	July 2005 forward
	C.1	October 2005 forward
	C.2	June 2006 forward
	C.3	September 2006 forward

<b>NCPDP Standards Version Usage by ECL Release</b>		
<b>Standard</b>	<b>Version/Release</b>	<b>ECL Date</b>
	C.4	January 2007 forward
	D.0	July 2007 forward
	D.1	October 2008 forward
	D.2	June 2209 forward
	D.3	March 2010 forward
	D.4	June 2010 forward
	D.5	September 2010 forward
	D.6	December 2010 forward
	D.7	July 2011 forward
	D.8	October 2011 forward
	D.9	January 2012 forward
	E.0	January 2013 forward
	E.1	January 2013 forward
	E.2	April 2013 forward
	E.3	October 2013 forward
	E.4	January 2014 forward
	E.5	April 2014 forward
	E6	October 2014 forward
	E7	July 2015 forward
	E8	January 2016 forward
	E9	October 2016 forward
	EB	April 2017 forward
	F2	October 2017 forward
<b>Uniform Healthcare Payer Data</b>		
	1.0	October 2011 forward
	2.0	January 2013 forward
	2.1	April 2013 forward
	22	April 2014 forward
	23	April 2017 forward
	24	October 2017 forward
<b>Universal Claim Form and Workers' Compensation/ Property &amp; Casualty Form (Manual Claim Forms Reference Guide)</b>		
	1.1	June 2009 forward
	1.2	December 2012 forward
	1.3	October 2013 forward
	1.4	July 2015 forward

**Emergency Telecommunication External Code List Value Addendum**

An ECL implementation process applied strictly to the Telecommunication Standard Version D.0 and above was developed by the Maintenance and Control (MC) ECL Implementation Task Group to facilitate consistent adoption of the approved ECL versions within a reasonable, workable timeframe, across all industry participants. An annual implementation is recommended and consideration of expedited implementation of regulatory-required values provided in the Emergency Telecommunication External Code List Value Addendum. New releases of the Addendum follow the ECL release process (see the ECL Process Overview on the NCPDP website). The most current Addendum release is available on the NCPDP website.

<b>Emergency Telecommunication External Code List Value Addendum Release Date</b>
07/2017
04/2017
01/2017
01/2016
10/2015
07/2015
04/2015
01/2015
10/2014
07/2014
04/2014

<b>Emergency Telecommunication External Code List Value Addendum Release Date</b>
01/2014
10/2013
07/2013
01/2013
10/2012
07/2012
01/2012
10/2011
07/2011
04/2011



## Financial Information Reporting Standard Implementation Guide

The Financial Information Reporting is a process whereby a patient, under one plan sponsor, has changed from one benefit plan PBM to another benefit plan PBM and point-in-time financial information is moved from the previous PBM to the new PBM. This information is necessary for the new PBM to accurately process claims and attribute plan balances and status for reporting to the plan sponsor. Specifically, under the Medicare Prescription Drug Benefit, Improvement and Modernization Act (MMA), when a patient changes to a new Part D plan during a plan year, the previous Part D plan is no longer the plan of record that is responsible for maintaining the current overall True Out-Of-Pocket (TrOOP) and Gross Covered Drug Cost balance for the patient for that plan year. The new plan then becomes the current plan that is responsible for maintaining the overall TrOOP and Gross Covered Drug Cost balance for the patient. These accumulated financial balances for the plan year need to be sent from the previous Part D plan to the new Part D plan. Patients may move between multiple Part D plans including moving back to one of the prior Plans. This standard addresses the industry need to standardize the exchange of this information between plans.

Transaction exchanges include:

*Financial Information Reporting Inquiry*  
*Financial Information Reporting Update*  
*Financial Information Reporting Exchange*  
*Financial Information Reporting Suspense*  
*Financial Information Reporting Release*

### **Financial Information Reporting Editorial Document**

This document provides a consolidated reference point for questions that have been posed based on the review and implementation of the NCPDP Financial Information Reporting Standard Implementation Guide Version 1.0 and above, the Data Dictionary, and the External Code List. This document also addresses editorial changes made to these documents. As members review the documents, questions arise which are not specifically addressed in the guides or could be clarified further. These questions are addressed in the Work Group 9 Government Program meetings.

Editorial changes include typographical errors, comments that do not match a field value, a reference pointer in error. This document is updated as questions are approved, which may be quarterly or less.

<b>Financial Information Reporting</b>	<b>Ballot Info</b>	<b>DRAFT</b>	<b>Approved NCPDP</b>	<b>Approved ANSI</b>	<b>Comment</b>	<b>Data Dictionary /ECL/X12 Version</b>
<i>Financial Information Reporting Standard Implementation Guide v14</i>	02/2017 WG090009		07/2017	7/2017		NCPDP DD 07/2017 NCPDP ECL 07/2017 through most current
<i>Financial Information Reporting Standard Implementation Guide v13</i>	08/2016 WG090008		01/2017	01/2017		NCPDP DD 01/2017 NCPDP ECL 01/2017 through most current
<i>Financial Information Reporting Standard Implementation Guide v1.2</i>	08/2009 WG010040		01/2010	12/2009		NCPDP DD 01/2010 NCPDP ECL 01/2010 through most current
<i>Financial Information Reporting Standard Implementation Guide v1.1</i>	05/2009 WG010037R		10/2009	10/2009		NCPDP DD 10/2009 NCPDP ECL 10/2009 through most current
<i>Financial Information Reporting Standard Implementation Guide v1.0</i>	08/2007 WG010031R		01/2008	05/2008		NCPDP DD 01/2008 NCPDP ECL 01/2008 through most current

## Formulary and Benefit Standard Implementation Guide

This NCPDP Formulary And Benefit Standard Implementation Guide provides a standard means for pharmacy benefit payers (including health plans and Pharmacy Benefit Managers) to communicate formulary and benefit information to prescribers via technology vendor systems. It enables the physician to consider the following kinds of information during the prescribing process, so that he/she could make the most appropriate drug choice for the patient.

- Information about which drugs are considered to be “on formulary,” and alternative medications for those drugs not on formulary.
- Limitations that may impact whether the patient’s benefit will cover a drug being considered (such as age limits, gender limits, step therapy rules, benefit-specific coverage exclusions, etc.)
- The cost to the patient for one drug option versus another.

File exchanges include:

*Formulary Status List*

*Cross Reference List*

*Formulary Alternatives List*

*Benefit Coverage List*

Formulary and Benefit	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
<i>Formulary and Benefit Standard Implementation Guide v51</i>	02/2017 WG110073		07/2017	7/2017		NCPDP DD 07/2017 NCPDP ECL 07/2017 through most current
<i>Formulary and Benefit Standard Implementation Guide v50</i>	05/2016 WG110069R 02/2016 WG110069		10/2016	11/8/2016		NCPDP DD 10/2016 NCPDP ECL 10/2016 through most current
<i>Formulary and Benefit Standard Implementation Guide v44</i>	05/2015 WG110065R 02/2015 WG110065		10/2015	12/2015		NCPDP DD 10/2015 NCPDP ECL 10/2015 through most current
<i>Formulary and Benefit Standard Implementation Guide v43</i>	08/2014 WG110063		01/2015	01/2015		NCPDP DD 01/2015 NCPDP ECL 01/2015 through most current
<i>Formulary and Benefit Standard Implementation Guide v42</i>	05/2014 WG110061		10/2014	10/2014		NCPDP DD 10/2014 NCPDP ECL 10/2014 through most current
<i>Formulary and Benefit Standard Implementation Guide v4.1</i>	05/2013 WG110055		10/2013	10/2013		NCPDP DD 10/2013 NCPDP ECL 10/2013 through most current
<i>Formulary and Benefit Standard Implementation Guide v4.0</i>	08/2012 MC000004R		01/2013	01/2013		NCPDP DD 01/2013 NCPDP ECL 01/2013 through most current
<i>Formulary and Benefit Standard Implementation Guide v3.0</i>	08/2010 WG110045		12/2010	01/2011	Editorial Correction April 2012	NCPDP DD 12/2010 NCPDP ECL 12/2010 through most current
<i>Formulary and Benefit Standard Implementation Guide v3.0</i>	08/2010 WG110045		12/2010	01/2011		NCPDP DD 12/2010 NCPDP ECL 12/2010 through most current
<i>Formulary and Benefit Standard Implementation Guide v2.1</i>	05/2008 WG110035		10/2008	09/2008		NCPDP DD 10/2008 NCPDP ECL 10/2008 through most current

Standards Matrix

Formulary and Benefit	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
<i>Formulary and Benefit Standard Implementation Guide v2.0</i>	11/2007 WG110032R		05/2008	05/2008		NCPDP DD 06/2008 NCPDP ECL 06/2008 through most current
<i>Formulary and Benefit Standard Implementation Guide v1.0</i>	05/2005 WG110021R		10/2005	10/2005	Named in 42 CFR Part 423 Medicare Program; Standards for EPrescribing Under Medicare Part D and Identification of Backward Compatible Version of Adopted Standard for EPrescribing and the Medicare Prescriptions Drug Program (Version 8.1); Final Rule	NCPDP DD 10/2005 NCPDP ECL 10/2005 through most current

## Functional Profiles for Electronic Health Record (EHR) Systems

In order to facilitate the capture and sharing of point of care prescription and medication related clinical data by Electronic Health Record (EHR) systems in a standard manner across the Health Care Industry, the *EHR-S Standalone Electronic Prescribing Functional Profile* and the *Pharmacy/Pharmacist Provider Functional Profile* and the *Standalone Electronic Prescription EHR-S Functional Profile* were developed jointly by NCPDP and HL7. These profiles indicate which functions are required, desired or implemented for certain EHR systems, Health Care Delivery settings, or other purposes. The profiles detail functions of systems; rather than the usual transaction exchange implementation guides normally published by NCPDP. They will be used to support the development of interoperable EHR systems and the certification of those systems by the Certification Commission for Health Information Technology (CCHIT).

Transaction exchanges include:  
*Not applicable*

Functional Profiles for EHR Systems	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
<i>EHR-S Standalone Electronic Prescribing Functional Profile and the Pharmacy/Pharmacist Provider Functional Profile v1.0</i>  <i>Standalone Electronic Prescription EHR-S Functional Profile v1.0</i>	08/2010 WG110046		12/2010			Not Applicable

## Manufacturer Rebate, Utilization, Plan, Formulary, Market Basket, and Reconciliation Flat File Standard and Implementation Guide

The NCPDP Manufacturer Rebate Utilization, Plan, Formulary, Market Basket, and Reconciliation Flat File Standard provides a standardized format for the electronic submission of rebate information from Pharmacy Management Organizations (PMOs) to Pharmaceutical Industry Contracting Organizations (PICOs). The five (5) file formats are intended to be used in an integrated manner, with the utilization file being supported by the plan and formulary files. However, any of the five (5) files may be used independently. The flat file standard layouts provide detailed information on the file design and requirements for each of the five (5) files.

File exchanges include:

*Utilization Flat File*  
*Plan Flat File*  
*Formulary Flat File*  
*Market Basket Flat File*  
*Reconciliation Flat File*

<b>Manufacturer Rebate Utilization, Plan, Formulary, Market Basket, and Reconciliation Flat File</b>	<b>Ballot Info</b>	<b>DRAFT</b>	<b>Approved NCPDP</b>	<b>Approved ANSI</b>	<b>Comment</b>	<b>Data Dictionary /ECL/X12 Version</b>
<i>Manufacturer Rebate Utilization, Plan, Formulary, Market Basket, and Reconciliation Flat File Standard Implementation Guide v 07.02</i>	08/2017 WG070011	D				
<i>Manufacturer Rebate Utilization, Plan, Formulary, Market Basket, and Reconciliation Flat File Standard Implementation Guide v 07.01</i>	11/2013 MC000006		04/2014	04/2014		NCPDP DD 04/2014 NCPDP ECL 04/2014 through most current
<i>Manufacturer Rebate Utilization, Plan, Formulary, Market Basket, and Reconciliation Flat File Standard Implementation Guide v 07.00</i>	05/2013 WG070010 08/2013 WG070010R		01/2014	02/2014		NCPDP DD 01/2014 NCPDP ECL 01/2014 through most current
<i>Manufacturer Rebate Utilization, Plan, Formulary, Market Basket, and Reconciliation Flat File Standard Implementation Guide v 06.01</i>	11/2012 WG070009 02/2013 WG070009R		07/2013	10/2013		NCPDP DD 07/2013 NCPDP ECL 07/2013 through most current
<i>Manufacturer Rebate Utilization, Plan, Formulary, Market Basket, and Reconciliation Flat File Standard Implementation Guide v 06.00</i>	08/2012 MC000004R		01/2013	01/2013		NCPDP DD 01/2013 NCPDP ECL 01/2013 through most current
<i>Manufacturer Rebate Utilization, Plan, Formulary, Market Basket, and Reconciliation Flat File Standard Implementation Guide v 05.01</i>	02/2011 WG070008R		07/2011	08/2011		NCPDP DD 07/2011 ECL 07/2011 through most current

Standards Matrix

<b>Manufacturer Rebate Utilization, Plan, Formulary, Market Basket, and Reconciliation Flat File</b>	<b>Ballot Info</b>	<b>DRAFT</b>	<b>Approved NCPDP</b>	<b>Approved ANSI</b>	<b>Comment</b>	<b>Data Dictionary /ECL/X12 Version</b>
<i>Manufacturer Rebate Utilization, Plan, Formulary, Market Basket, and Reconciliation Flat File Standard Implementation Guide v 05.00</i>	08/2010 WG070006		12/2010	01/2011		NCPDP DD 12/2010 ECL 12/2010 through most current
<i>Manufacturer Rebate Utilization, Plan, Formulary, Market Basket, and Reconciliation Flat File Standard Implementation Guide v 04.01</i>	11/2006 WG070005		04/2007	03/2007	Specification and Implementation Guide incorporated into one document	NCPDP DD 04/2007 ECL 04/2007 through most current
<i>Utilization, Plan, Formulary, Market Basket, And Reconciliation Flat File Flat File Standard v03.02</i>			11/2003		Republication to move values from documents for first usage of ECL for Rebate	NCPDP DD 11/2003 ECL 11/2003 through most current
Implementation Guide v03.02			11/2003			
Implementation Guide v03.02			02/2004		Republication	
Implementation Guide v03.02			06/2004		Republication	
<i>Utilization, Plan, Formulary, and Market Basket Flat File Standard v03.02</i>	05/2002 WG070004R		12/2002			NCPDP 12/2002
Implementation Guide v03.02			12/2002			
Implementation Guide v03.02			06/2003		Republication	
<i>Utilization, Plan, Formulary, and Market Basket Flat File Standard v03.01</i>	08/2001 WG070003R		01/2002	01/2002	Support of V5.0 Telecommunication Standard.	NCPDP DD 01/2002
Implementation Guide v03.01			01/2002	01/2002		
<i>Utilization, Plan, Formulary, and Market Basket Flat File Standard v02.01</i>			06/2000	06/2001	Support of V4.2 Telecommunication Standard.	NCPDP DD 06/2000
Implementation Guide v02.01			06/2000	06/2001		
<i>Utilization, Plan and Formulary Flat File Standard v01.01</i>			10/1998		Support of V4.2 Telecommunication Standard.	NCPDP DD 10/1998 and Data Dictionary Quick Reference 4.2 dated 10/1998
Implementation Guide v01.01			Revised 04/1999			
<i>Manufacturer Rebate Standard v1.0</i>			02/1995			
X12 837 Implementation Guide V1.0						X12 837

## Medicaid Subrogation Implementation Guide

The NCPDP Medicaid Subrogation Implementation Guide provides guidelines for the process whereby a Medicaid agency can communicate to a processor for reimbursement. The state has reimbursed the pharmacy provider for covered services and now is pursuing reimbursement from other payers for these services. This implementation uses the Telecommunication Standard and the Batch Standard as frameworks for exchange.

Detail record exchanges include:

See [Batch Standard Implementation Guide](#)

### Medicaid Subrogation Version 3.0 Questions, Answers and Editorial Updates

This document provides a consolidated reference point for questions that have been posed based on the review and implementation of the NCPDP **Medicaid Subrogation Standard Implementation Guide Version 3.0**, and the Data Dictionary and External Code List only as they apply to Medication Subrogation. This document also addresses an editorial change made to the implementation guide in October 2010. As members review the documents, questions arise which are not specifically addressed in the guides or could be clarified further. These questions are addressed in the Work Group 9 Government Programs meetings. Editorial changes include typographical errors, comments that do not match a field value, a reference pointer in error.

Medicaid Subrogation	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
Medicaid Subrogation Implementation Guide V4.0	08/2012 MC000004R		01/2013	01/2013		NCPDP DD 01/2013 NCPDP ECL 01/2013 through most current
Medicaid Subrogation Implementation Guide V3.0			10/2010		Republication	NCPDP DD 07/2007 and Above NCPDP ECL 07/2007 through most current
Medicaid Subrogation Implementation Guide V3.0	02/2007 WG090007R		07/2007	07/2007	Support of Version 5.1 or higher Telecommunication Standard. Used with Batch Transaction Standard Version 1.1 or higher or Telecommunication Standard Version 5.1 or higher <b>Named as a new standard in the January 2009 modifications to the Health Insurance Portability and Accountability Act (HIPAA) Electronic Transaction Standards; Final Rule (45 CFR Part 162) for use by all on January 1, 2012 except small plans that have until January 1, 2013</b>	NCPDP DD 07/2007 and Above NCPDP ECL 07/2007 through most current
Medicaid Subrogation Implementation Guide V2.0			02/2004		Republication Incorporated into new publishing template.	
Medicaid Subrogation Implementation Guide V2.0			11/2003		Support of Version 5.1 Telecommunication Standard. Used with Batch Transaction Standard Version 1.1 or Telecommunication Standard Version 5.1.	
Medicaid Subrogation Implementation Guide v1.1			06/2000		Support of Version 3.2 Telecommunication Standard. Used with Batch Transaction Standard Version 1.0 or Telecommunication Standard Version 3.2.	

## Medical Rebate Data Submission Standard Implementation Guide

The NCPDP Medical Rebate Data Submission Standard provides a standardized format for health plans' rebate submissions to multiple manufacturers throughout the industry. Implementation of the medical also eliminates the need for manufacturers to create internal mapping processes to standardize unique data formats from each health plan or third party administrator.

File exchanges include:

*Medical Rebate Data Submission File*

Medical Rebates Data Submission Standard	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
Medical Rebate Data Submission Standard Implementation Guide V02.02	11/2013 MC000006		04/2014	04/2014		NCPDP DD 04/2014 NCPDP ECL 04/2014 through most current
Medical Rebate Data Submission Standard Implementation Guide V02.01	11/2012 MC000005		04/2013	04/2013		NCPDP DD 04/2013 NCPDP ECL 04/2013 through most current
Medical Rebate Data Submission Standard Implementation Guide V02.00	08/2012 MC000004R		01/2013	01/2013		NCPDP DD 01/2013 NCPDP ECL 01/2013 through most current
Medical Rebate Data Submission Standard Implementation Guide V01.00	02/2011 WG010045R		07/2011	08/2011		NCPDP DD 07/2011 ECL 07/2011 through most current



## NCPDP XML Schemas

All of the NCPDP XML schemas are contained in an NCPDP XML zip file (“the file”) for the applicable standards. The file contains the transport, structures, datatypes, \*pa-structures (\*for SCRIPT and Specialized ONLY through Version 2016041) and applicable specialized, script, benefit integration with their associated ecl schemas. Each release of this file follows the versioning for standards with model-driven schemas ([see section “Versions in Model-driven Schemas”](#)). The NCPDP XML file is republished when any schema of the associated standard is modified or a new schema added.

Detailed information is available in the SCRIPT Standard Implementation Guide, Specialized Standard Implementation Guide, XML Standard and Benefit Integration Standard Implementation Guide sections.

## Operating Rules

### ***Operating Rules for the X12 270/271 Transactions in Electronic Prescribing***

This document provides for consistent implementation of necessary business rules and guidelines for the electronic exchange of information used in pharmacy and electronic prescribing industry exchanges for the X12 Standards for Electronic Data Interchange Technical Report 3 (TR3) - Health Care Eligibility Benefit Inquiry and Response (270/271) transactions that are not defined by a standard or its implementation specifications as adopted.

<b>Operating Rules for the X12 270/271 Transactions in Electronic Prescribing</b>	<b>DRAFT</b>	<b>Approved NCPDP</b>	<b>Comment</b>	<b>Data Dictionary /ECL/X12 Version</b>
<i>Operating Rules for the X12 270/271 Transactions in Electronic Prescribing v1.0</i>		2/2011		N/A

### ***Operating Rules for Connectivity***

This document provides for consistent implementation of necessary business rules and guidelines for the electronic exchange of information used in pharmacy and electronic prescribing industry exchanges for NCPDP standards and the X12 Standards transactions that are not defined by a standard or its implementation specifications as adopted.

In addition to this guidance, NCPDP provides a ConnectivityBase.wsdl to be used as a base for connectivity implementation and a PayloadEnvelope.xsd. The PayloadEnvelope.xsd is to be followed for the exchange of NCPDP and X12 transactions in the pharmacy and electronic prescribing industries. Other standards or transactions are considered out of scope. See the Connectivity document for use of these documents.

<b>Operating Rules for Connectivity</b>	<b>DRAFT</b>	<b>Approved NCPDP</b>	<b>Comment</b>	<b>Data Dictionary /ECL/X12 Version</b>
<i>Operating Rules for Connectivity v1.2</i>		10/2015		NCPDP DD 10/2015 ECL 10/2015 through most current
<a href="#">PayloadEnvelope and ConnectivityBase 201510</a>				
<i>Operating Rules for Connectivity v1.1</i>		10/2012		NCPDP DD 07/2011 ECL 07/2011 through most current
<a href="#">PayloadEnvelope and ConnectivityBase 201210</a>				
<i>Operating Rules for Connectivity v1.0</i>		07/2011		NCPDP DD 07/2011 ECL 07/2011 through most current
<a href="#">PayloadEnvelope and ConnectivityBase 201107</a>				

### ***Operating Rules for the Formulary and Benefit Standard***

This document provides for consistent implementation of best practices and guidelines for the provision and electronic transmission of a current payer's drug product formulary and prescription benefit information. This information is transmitted by payers to prescriber EHR and e-prescribing system technology vendors to inform prescribers and their patients about the patient's prescription benefit. This document must be used only in conjunction with the ***NCPDP Formulary and Benefit Standard Version 50 and later version files***. This document complements, but does not supersede the Formulary and Benefit Standard itself.

Standards Matrix

<b>Operating Rules for the Formulary And Benefit Standard</b>	<b>Ballot Info</b>	<b>DRAFT</b>	<b>Approved NCPDP</b>	<b>Comment</b>	<b>Data Dictionary /ECL Version</b>
<i>Operating Rules for the Formulary And Benefit Standard v10</i>	02/2017 WG110074		07/2017		N/A

## Pharmacy and/or Combination ID Card Implementation Guide

The Pharmacy and/or Combination ID Card Implementation Guide is intended to provide guidelines for organizations or entities producing member identification (ID) cards for use in the pharmaceutical drug claim industry and to promote a consistent implementation of the NCPDP adopted ID card standard throughout the industry.

Transaction exchanges include:  
*Not applicable*

Pharmacy and/or Combination ID Card	DRAFT	Approved NCPDP	Comment	Data Dictionary /ECL/X12 Version
<i>Pharmacy And/Or Combo ID Card Implementation Guide v5.0</i>		10/2017		NCPDP DD 10/2017 ECL 10/2017 through most current
<i>Pharmacy And/Or Combo ID Card Implementation Guide v4.3</i>		10/2015		NCPDP DD 10/2015 ECL 10/2015 through most current
<i>Pharmacy And/Or Combo ID Card Implementation Guide v4.2</i>		07/2014		NCPDP DD 07/2014 ECL 07/2014 through most current
<i>Pharmacy And/Or Combo ID Card Implementation Guide v4.1</i>		04/2014	Balloted 11/2013 MC000006 changes impacted other standards requiring a ballot	NCPDP DD 04/2014 ECL 04/2014 through most current
<i>Pharmacy And/Or Combo ID Card Implementation Guide v4.0</i>		07/2011		NCPDP DD 07/2011 ECL 07/2011 through most current
<i>Pharmacy And/Or Combo ID Card Implementation Guide v3.0</i>		10/2009		NCPDP DD 10/2009 ECL 10/2009 through most current
<i>Pharmacy And/Or Combo ID Card Implementation Guide v2.0</i>		01/2007	Republication	NCPDP ECL 10/2006 through most current
<i>Pharmacy And/Or Combo ID Card Implementation Guide v2.0</i>		10/2006		NCPDP ECL 10/2006 through most current
<i>Pharmacy ID Card Implementation Guide v1.9</i>		10/2005		
<i>Pharmacy ID Card Implementation Guide v1.8</i>		04/2004		
<i>Pharmacy ID Card Implementation Guide v1.7</i>		10/2002		
<i>Pharmacy ID Card Implementation Guide v1.6</i>		05/2002		
<i>Pharmacy ID Card Implementation Guide v1.5</i>		09/2001		
<i>Pharmacy ID Card Implementation Guide v1.4</i>		11/2000		
<i>Pharmacy ID Card Implementation Guide v1.3</i>		01/2000		
<i>Pharmacy ID Card Implementation Guide v1.2</i>		10/1999		
<i>Pharmacy ID Card Implementation Guide v1.1</i>		06/1999		

## Post Adjudication Standard Implementation Guide

The Post Adjudication Standard Implementation Guide meets the industry need to supply detailed drug or utilization claim information after the claim has been adjudicated.

Client Groups, Pharmacy Benefit Managers (PBM's), Fiscal Agents, Vendors, and Administrative Oversight Organizations require the ability to share post-adjudicated pharmacy claim data. The data is used to support:

1. Auditing of services
2. Retrospective DUR review
3. Statistical reporting
4. Evaluation of Health Care
5. Evaluation of Contractor performance
6. Development and evaluation of Capitation rates
7. Payment reinsurance (stop loss) to contractors, and
8. Development of fee for service payment rates.

File exchanges include:

*Post Adjudication History file*

*Post Adjudication Utilization file*

Post Adjudication	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
<i>Post Adjudication Standard Implementation Guide v47</i>	05/2017 WG010075R 02/2017 WG010075		10/2017			NCPDP DD 10/2017 NCPDP ECL 10/2017 through most current
<i>Post Adjudication Standard Implementation Guide v46</i>	11/2016 WG010072R 08/2016 WG010072		04/2017	04/2017		NCPDP DD 04/2017 NCPDP ECL 04/2017 through most current
<i>Post Adjudication Standard Implementation Guide v45</i>	02/2016 WG010069		07/2016	8/2016		NCPDP DD 07/2016 NCPDP ECL 07/2016 through most current
<i>Post Adjudication Standard Implementation Guide v44</i>	05/2014 WG010063		10/2014	10/2014		NCPDP DD 10/2014 NCPDP ECL 10/2014 through most current
<i>Post Adjudication Standard Implementation Guide v43</i>	11/2013 MC000006		04/2014	04/2014		NCPDP DD 04/2014 NCPDP ECL 04/2014 through most current
<i>Post Adjudication Standard Implementation Guide v4.2</i>	11/2012 MC000005		04/2013	04/2013		NCPDP DD 04/2013 NCPDP ECL 04/2013 through most current
<i>Post Adjudication Standard Implementation Guide v4.1</i>	08/2012 WG010056		01/2013	02/2013		NCPDP DD 01/2013 NCPDP ECL 01/2013 through most current
<i>Post Adjudication Standard Implementation Guide v4.0</i>	08/2012 MC000004R		01/2013	02/2013		NCPDP DD 01/2013 NCPDP ECL 01/2013 through most current
<i>Post Adjudication Standard Implementation Guide v3.1</i>	02/2012 WG010053		07/2012	07/2012		NCPDP DD 07/2012 NCPDP ECL 07/2012 through most current

Standards Matrix

Post Adjudication	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
<i>Post Adjudication Standard Implementation Guide v3.0</i>	05/2011 WG010048		09/2011	10/2011		NCPDP DD 10/2011 NCPDP ECL 10/2011 through most current
<i>Post Adjudication Standard Implementation Guide v2.3</i>	11/2010 WG010046		04/2011	04/2011		NCPDP DD 04/2011 NCPDP ECL 04/2011 through most current
<i>Post Adjudication Standard Implementation Guide v2.2</i>			01/2011		Republication	NCPDP DD 06/2010 NCPDP ECL 06/2010 through most current
<i>Post Adjudication Standard Implementation Guide v2.2</i>	02/2010 WG010041		06/2010	06/2010		NCPDP DD 06/2010 NCPDP ECL 06/2010 through most current
<i>Post Adjudication Standard Implementation Guide v2.1</i>			01/2011		Republication	NCPDP DD 03/2009 NCPDP ECL 06/2009 through most current
<i>Post Adjudication Standard Implementation Guide v2.1</i>			06/2009		Republication	NCPDP DD 03/2009 NCPDP ECL 06/2009 through most current
<i>Post Adjudication Standard Implementation Guide v2.1</i>	11/2008 WG010034R		03/2009	04/2009		NCPDP DD 03/2009 NCPDP ECL 03/2009 through most current
<i>Post Adjudication Standard Implementation Guide v2.0</i>	08/2007 WG010030R		01/2008	01/2008		NCPDP DD 01/2008 NCPDP ECL 01/2008 through most current
<i>Post Adjudication Standard Implementation Guide v1.0</i>	03/2006 WG010027R		09/2006	08/2006		NCPDP DD 09/2006 NCPDP ECL 09/2006 through most current

## Prescription Transfer Standard Implementation Guide

The Prescription Transfer Standard Implementation Guide was developed to create file formats for the purpose of electronically transferring prescriptions between pharmacies. Traditionally, prescriptions are transferred orally from one pharmacy to another. While this is efficient on a single-prescription basis, transfers of large sets of prescriptions could not be accomplished in this manner. Therefore, a standard format was needed that would allow compliance with regulatory requirements for the transfer of a prescription while at the same time introducing economies of scale.

File exchanges include:

*Fixed length file*

*Variable length file*

Prescription File Transfer	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
<i>Prescription Transfer Standard Implementation Guide v36</i>	02/2017 WG110071		07/2017	7/2017		NCPDP DD 07/2017 NCPDP ECL07/2017 through most current
<i>Prescription Transfer Standard Implementation Guide v35</i>	08/2016 WG110071		01/2017	03/2017		NCPDP DD 01/2017 NCPDP ECL01/2017 through most current
<i>Prescription Transfer Standard Implementation Guide v34</i>	02/2016 WG110068		07/2016	08/2016		NCPDP DD 07/2016 NCPDP ECL07/2016 through most current
<i>Prescription Transfer Standard Implementation Guide v33</i>	02/2014 WG110058R 11/2013 WG110058 11/2013 MC000006		07/2014	08/2014		NCPDP DD 07/2014 NCPDP ECL07/2014 through most current
<i>Prescription Transfer Standard Implementation Guide v3.2</i>	05/2013 WG110056		10/2013	10/2013		NCPDP DD 10/2013 NCPDP ECL10/2013 through most current
<i>Prescription Transfer Standard Implementation Guide v3.1</i>	11/2012 MC000005		04/2013	04/2013		NCPDP DD 04/2013 NCPDP ECL 04/2013 through most current
<i>Prescription Transfer Standard Implementation Guide v3.0</i>	08/2012 MC000004R		01/2013	01/2013	Updated 04/2014	NCPDP DD 01/2013 NCPDP ECL 01/2013 through most current
<i>Prescription Transfer Standard Implementation Guide v2.0</i>	05/2010 WG110043		09/2010	09/2010		NCPDP DD 09/2010 NCPDP ECL 09/2010 through most current
<i>Prescription Transfer Standard Implementation Guide v1.1</i>	08/2009 WG110041		01/2010	12/2009		NCPDP DD 01/2010 NCPDP ECL 01/2010 through most current
<i>Prescription Transfer Standard Implementation Guide v1.0</i>	08/2007 WG110029R		01/2008	01/2008		NCPDP DD 01/2008 NCPDP ECL 01/2008 through most current

## Prior Authorization Transfer Standard Implementation Guide

This standard was developed to define the file format and correct usage for electronically transferring existing prior authorization data between payer/processors when transitioning clients, performing system database or platform changes, or other scenarios where an existing prior authorization record is stored in one location and needs to be moved to another.

File exchanges include:

*Prior Authorization Transfer file*

Prior Authorization Transfer	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
<i>Prior Authorization Transfer Standard Implementation Guide v22</i>	11/2016 WG010072R 08/2016 WG010072		04/2017	04/2017		NCPDP DD 04/2017 NCPDP ECL 04/201 through most current
<i>Prior Authorization Transfer Standard Implementation Guide v21</i>	02/2016 WG010071		07/2016	8/2016		NCPDP DD 07/2016 NCPDP ECL07/2016 through most current
<i>Prior Authorization Transfer Standard Implementation Guide v2.0</i>	08/2012 MC000004R		01/2013	01/2013		NCPDP DD 01/2013 NCPDP ECL 01/2013 through most current
<i>Prior Authorization Transfer Standard Implementation Guide v1.0</i>	02/2009 WG010036R		06/2009	06/2009	<b>Republished on the website 20100726 due to critical formatting corrections. USE THIS PUBLICATION</b>	NCPDP DD 06/2009 NCPDP ECL 06/2009 through most current
<i>Prior Authorization Transfer Standard Implementation Guide v1.0</i>	02/2009 WG010036R		06/2009	06/2009	<b>DO NOT USE THIS PUBLICATION</b>	NCPDP DD 06/2009 NCPDP ECL 06/2009 through most current



## Product Identifiers Standard Implementation Guide

The Product Identifier Standard Implementation Guide was developed to provide education and general guidance for consistent formatting and utilization of product identifiers in healthcare. Additionally, it provides rules to avoid changes to identifiers that would disrupt the provision of healthcare and have negative effects on patient care.

Transaction exchanges include:

*Not applicable in this standard*

Product Identifier Standard	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
<i>Product Identifiers Standard Implementation Guide v1.4</i>	02/2017 WG020009		07/2017	7/2017		NA
<i>Product Identifiers Standard Implementation Guide v1.3</i>	08/2016 WG020008		01/2017	01/2017		NA
<i>Product Identifiers Standard Implementation Guide v1.2</i>	10/2015 WG020007		01/2016	2/2016		NA
<i>Product Identifiers Standard Implementation Guide v1.1</i>	02/2015 WG020006		07/2015	07/2015		NA
<i>Product Identifiers Standard Implementation Guide v1.0</i>	05/2014 WG020004		10/2014	10/2014		NA

## Retiree Drug Subsidy Standard Implementation Guide

The NCPDP *Retiree Drug Subsidy Standard Implementation Guide* assists in the automation of summarized drug cost and related data transfer from one processor/pharmacy benefit manager to another processor/pharmacy benefit manager. It allows for the continuation of CMS Retiree Drug Subsidy (RDS) cost data reporting by the receiving entity for a given application ID plan year.

File exchanges include:  
*Retiree Drug Subsidy file*

Retiree Drug Subsidy	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
<i>Retiree Drug Subsidy Standard Implementation Guide v2.0</i>	08/2012 MC000004R		01/2013	01/2013		NCPDP DD 01/2013 NCPDP ECL 01/2013 through most current
<i>Retiree Drug Subsidy Standard Implementation Guide v1.0</i>	05/2011 WG010050		09/2011	10/2011		NCPDP DD 10/2011 NCPDP ECL 10/2011 through most current

## SCRIPT Standard Implementation Guide

The SCRIPT Implementation Guide was developed for transmitting prescription information electronically between prescribers, providers, and other entities. The standard addresses the electronic transmission of new prescriptions, changes of prescriptions, prescription refill requests, prescription fill status notifications, cancellation notifications, relaying of medication history, and transactions for long-term care.

Transaction exchanges include:

*New Prescription*  
*Change Request/Response*  
*Refill/Resupply (Renewal) Request/Response*  
*Cancel Request/Response*  
*Fill Status Notification*  
*Medication History Request/Response*  
*Drug Administration Request/Response*  
*Query (NewRxRequest, NewRxRequestDenied)*  
*Prior Authorization functions*

### SCRIPT Implementation Recommendations

This document provides recommendations expected to be followed by the industry for consistent and complete prescription transactions of the NCPDP SCRIPT Standard. As the electronic prescribing industry has matured, more robust requirements have been added to the transaction standards. It is recommended that a transaction that does not follow the recommendations be rejected as incomplete. These recommendations will be brought forward and it is anticipated that they will be reflected in future versions of the SCRIPT Standard. These recommendations provide a bridge to the future versions. This document contains questions, clarifications, and corrections. This document is updated as often as quarterly.

**Table of SCRIPT Versions in Model-driven Schemas**

SCRIPT	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/SCHEMA/XML Standard Release Dates
<i>SCRIPT Standard Implementation Guide v2017xx#</i> <i>V2017xx# SCRIPT Standard Examples Guide V1x</i>	08/2017 WG110077	D				
<i>SCRIPT Standard Implementation Guide v2017071</i> <i>V2017071 SCRIPT Standard Examples Guide V10</i>	02/2017 WG110072		07/2017	7/2017		NCPDP DD 07/2017 NCPDP ECL07/2017 through most current NCPDP 2017071.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2017071
<i>SCRIPT Standard Implementation Guide v2017011</i> <i>V2017011 SCRIPT Standard Examples Guide V10</i>	08/2016 WG110070		01/2017	01/2017	<b><i>This version of the standard moves Transmission Examples to a SCRIPT Standard Examples Guide</i></b>	NCPDP DD 01/2017 NCPDP ECL01/2017 through most current NCPDP 2017011.XML.zip (SCHEMAS): transport.xsd

Standards Matrix

SCRIPT	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/SCHEMA/XML Standard Release Dates
						structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2016041
SCRIPT Standard Implementation Guide v2016071	02/2016 WG110067		07/2016	8/2016		NCPDP DD 07/2016 NCPDP ECL07/2016 through most current NCPDP 2016071.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2016041
SCRIPT Standard Implementation Guide v2016041	11/2015 WG110066R 08/2015 WG110066		04/2016	04/2016	<b><i>This version of the standard removes the pa-structures.xsd from the xml schema</i></b>	NCPDP DD 04/2016 NCPDP ECL04/2016 through most current NCPDP 2016041.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2016041
SCRIPT Standard Implementation Guide v2015071	02/2015 WG110064		07/2015	08/2015		NCPDP DD 07/2015 NCPDP ECL07/2015 through most current NCPDP 2015071.XML.zip (SCHEMAS): pa-structures.xsd transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2015071
SCRIPT Standard Implementation Guide v2015041	11/2014 WG110062R 08/2014 WG110062		04/2015	05/2015		NCPDP DD 04/2015 NCPDP ECL04/2015 through most current NCPDP 2015041.XML.zip (SCHEMAS): pa-structures.xsd transport.xsd structures.xsd datatypes.xsd

Standards Matrix

SCRIPT	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/SCHEMA/XML Standard Release Dates
						specialized xsd script.xsd ecl.xsd XML Standard v2014101
<i>SCRIPT Standard Implementation Guide v2014101</i>	05/2014 WG110060		10/2014	10/2014		NCPDP DD 10/2014 NCPDP ECL10/2014 through most current NCPDP 2014101.XML.zip (SCHEMAS): pa-structures.xsd transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2014101
<i>SCRIPT Standard Implementation Guide v2014072</i>	02/2014 WG110059		07/2014		Republication of the XML Schema September 2014	NCPDP DD 07/2014 NCPDP ECL07/2014 through most current NCPDP 2014072.XML.zip (SCHEMAS): pa-structures.xsd transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2014072
<i>SCRIPT Standard Implementation Guide v2014072</i>	02/2014 WG110059		07/2014	08/2014		NCPDP DD 07/2014 NCPDP ECL07/2014 through most current NCPDP 2014072.XML.zip (SCHEMAS): pa-structures.xsd transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2014072
<i>SCRIPT Standard Implementation Guide v2014071</i>	02/2014 WG110058R 11/2013 WG110058 11/2013 MC000006		07/2014	08/2014	Republication of the XML Schema September 2014	NCPDP DD 07/2014 NCPDP ECL07/2014 through most current NCPDP 2014071.XML.zip (SCHEMAS): pa-structures.xsd transport.xsd structures.xsd datatypes.xsd

Standards Matrix

SCRIPT	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/SCHEMA/XML Standard Release Dates
						specialized xsd script.xsd ecl.xsd XML Standard v2014071
<i>SCRIPT Standard Implementation Guide v2014071</i>	02/2014 WG110058R 11/2013 WG110058 11/2013 MC000006		07/2014	08/2014		NCPDP DD 07/2014 NCPDP ECL07/2014 through most current NCPDP 2014071.XML.zip (SCHEMAS): pa-structures.xsd transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2014071
<i>SCRIPT Standard Implementation Guide v2014041</i>	11/2013 WG110057R 08/2013 WG110057		04/2014	05/2014	Republication of the XML Schema September 2014 Implementation Guide and SCHEMAS updated May 2014	NCPDP DD 04/2014 NCPDP ECL04/2014 through most current NCPDP 2014041.XML.zip (SCHEMAS): pa-structures.xsd transport.xsd structures.xsd datatypes.xsd specialized .xsd script.xsd ecl.xsd XML Standard v2014041
<i>SCRIPT Standard Implementation Guide v2014041</i>	11/2013 WG110057R 08/2013 WG110057		04/2014	05/2014	Implementation Guide and SCHEMAS updated May 2014	NCPDP DD 04/2014 NCPDP ECL04/2014 through most current NCPDP 2014041.XML.zip (SCHEMAS): pa-structures.xsd transport.xsd structures.xsd datatypes.xsd specialized .xsd script.xsd ecl.xsd XML Standard v2014041
<i>SCRIPT Standard Implementation Guide v2013101</i>	05/2013 WG110054		10/2013	10/2013	SCHEMAS updated to v2013102 in September 2014 Implementation Guide and SCHEMAS updated May 2014	NCPDP DD 10/2013 NCPDP ECL10/2013 through most current NCPDP 2013102.XML.zip (SCHEMAS): pa-structures.xsd transport.xsd structures.xsd datatypes.xsd

Standards Matrix

SCRIPT	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/SCHEMA/XML Standard Release Dates
						specialized .xsd script.xsd ecl.xsd XML Standard v2013101
<i>SCRIPT Standard Implementation Guide v2013101</i>	05/2013 WG110054		10/2013	10/2013	Implementation Guide and SCHEMAS updated May 2014	NCPDP DD 10/2013 NCPDP ECL10/2013 through most current NCPDP 2013101.XML.zip (SCHEMAS): pa-structures.xsd transport.xsd structures.xsd datatypes.xsd specialized .xsd script.xsd ecl.xsd XML Standard v2013101
<i>SCRIPT Standard Implementation Guide v2013071</i>	02/2013 WG110053		07/2013	09/2013		NCPDP DD 07/2013 NCPDP ECL 07/2013 through most current NCPDP 2013071.XML.zip (SCHEMAS): pa-structures.xsd transport.xsd structures.xsd datatypes.xsd specialized .xsd script.xsd ecl.xsd XML Standard v2013071
<i>SCRIPT Standard Implementation Guide v2013041</i>	11/2012 MC000005		04/2013	04/2013		NCPDP DD 04/2013 NCPDP ECL 04/2013 through most current NCPDP 2013041.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized .xsd script.xsd ecl.xsd XML Standard v2013041
<i>SCRIPT Standard Implementation Guide v2013012</i>	08/2012 MC000004R AND WG110052		01/2013	02/2013		NCPDP DD 01/2013 NCPDP ECL 01/2013 through most current NCPDP 2013012.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized .xsd script.xsd

Standards Matrix

SCRIPT	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/SCHEMA/XML Standard Release Dates
						ecl.xsd XML Standard v2012031
SCRIPT Standard Implementation Guide v2013011	05/2012 WG110051		01/2013	02/2013		NCPDP DD 01/2013 NCPDP ECL 01/2013 through most current NCPDP 2013011.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized .xsd script.xsd ecl.xsd XML Standard v2012031
SCRIPT Standard Implementation Guide v2012031	11/2011 WG110050		04/2012	06/2012		NCPDP DD 04/2012 NCPDP ECL 04/2012 through most current NCPDP 2012031.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized .xsd script.xsd ecl.xsd XML Standard v2012031
SCRIPT Standard Implementation Guide v2012011	08/2011 WG110049		01/2012	02/2012		NCPDP DD 01/2012 NCPDP ECL 01/2012 through most current NCPDP 2012011.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized .xsd script.xsd ecl.xsd XML Standard v2012031
SCRIPT Standard Implementation Guide v2011091	05/2011 WG110048		09/2011	10/2011		NCPDP DD 10/2011 NCPDP ECL 10/2011 through most current NCPDP 2011091.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized .xsd script.xsd ecl.xsd XML Standard v2011071
SCRIPT Standard Implementation Guide v2011071			07/2011	08/2011	Republication of the XML Schema	NCPDP DD 07/2011 NCPDP ECL 07/2011 through most current



Standards Matrix

SCRIPT	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/SCHEMA/XML Standard Release Dates
						NCPDP 2011072.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized .xsd script.xsd ecl.xsd XML Standard v2011071
<i>SCRIPT Standard Implementation Guide v2011071</i>	02/2011 WG110047		07/2011	08/2011		NCPDP DD 07/2011 NCPDP ECL 07/2011 through most current NCPDP 2011071.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized .xsd script.xsd ecl.xsd XML Standard v2011071
<i>SCRIPT Standard Implementation Guide v2010121</i>			12/2010		<i>Republication of the XML Schema</i>	NCPDP DD 12/2010 NCPDP ECL 12/2010 through most current NCPDP 2010124.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized .xsd script.xsd ecl.xsd XML Standard v2010121
<i>SCRIPT Standard Implementation Guide v2010121</i>			12/2010		<i>Republication of the XML Schema</i>	NCPDP DD 12/2010 NCPDP ECL 12/2010 through most current NCPDP 2010123.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized .xsd script.xsd ecl.xsd XML Standard v2010121
<i>SCRIPT Standard Implementation Guide v2010121</i>			12/2010		<i>Republication of the XML Schema</i>	NCPDP DD 12/2010 NCPDP ECL 12/2010 through most current NCPDP 2010122.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd

Standards Matrix

SCRIPT	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/SCHEMA/XML Standard Release Dates
						specialized .xsd script.xsd ecl.xsd XML Standard v2010121
<i>SCRIPT Standard Implementation Guide v2010121</i>	08/2010 WG110044		12/2010	01/2011	First version	NCPDP DD 12/2010 NCPDP ECL 12/2010 through most current NCPDP 2010121.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized .xsd script.xsd ecl.xsd XML Standard v2010121

See "XML Standard" information.

**Table of SCRIPT Versions Prior to Model-driven Schemas**

SCRIPT	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
<i>SCRIPT Standard Implementation Guide v10.11 With XML Schema</i>			11/2010		<i>Republication of the XML Schema</i>	NCPDP DD 06/2010 (Appendix F) NCPDP ECL 06/2010 through most current X12 Latest Version
<i>SCRIPT Standard Implementation Guide v10.11 With XML Schema</i>			08/2010		<i>Republication of the XML Schema</i>	NCPDP DD 06/2010 (Appendix F) NCPDP ECL 06/2010 through most current X12 Latest Version
<i>SCRIPT Standard Implementation Guide v10.11 With XML Schema</i>	02/2010 WG110042		06/2010	08/2010		NCPDP DD 06/2010 (Appendix F) NCPDP ECL 06/2010 through most current X12 Latest Version
<i>SCRIPT Standard Implementation Guide v10.10 With XML Schema</i>			11/2010		<i>Republication of the XML Schema</i>	NCPDP DD 03/2010 (Appendix F) NCPDP ECL 03/2010 through most current X12 Latest Version
<i>SCRIPT Standard Implementation Guide v10.10 With XML Schema</i>			08/2010		<i>Republication of the XML Schema</i>	NCPDP DD 03/2010 (Appendix F) NCPDP ECL 03/2010 through most current X12 Latest Version
<i>SCRIPT Standard Implementation Guide v10.10 With XML Schema</i>	11/2009 WG110040R		03/2010	05/2010		NCPDP DD 03/2010 (Appendix F) NCPDP ECL 03/2010 through most current

Standards Matrix

SCRIPT	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
						X12 Latest Version
SCRIPT Standard Implementation Guide v10.10 With XML Schema	05/2009 WG110039	F			The ballot approval percentage was not met. Balloted items were incorporated into Ballot WG110040	
SCRIPT Standard Implementation Guide v10.9 With XML Schema			11/2010		Republication of the XML Schema	NCPDP DD 06/2009 (Appendix J) NCPDP ECL 06/2009 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v10.9 With XML Schema			08/2010		Republication of the XML Schema	NCPDP DD 06/2009 (Appendix J) NCPDP ECL 06/2009 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v10.9 With XML Schema			08/2009		Republication of the XML Schema	NCPDP DD 06/2009 (Appendix J) NCPDP ECL 06/2009 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v10.9 With XML Schema	02/2009 WG110038		06/2009	06/2009		NCPDP DD 06/2009 (Appendix J) NCPDP ECL 06/2009 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v10.8 With XML Schema			11/2010		Republication of the XML Schema	NCPDP DD 06/2009 (Appendix J) NCPDP ECL 06/2009 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v10.8 With XML Schema			08/2010		Republication of the XML Schema	NCPDP DD 06/2009 (Appendix J) NCPDP ECL 06/2009 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v10.8 With XML Schema			08/2009		Republication of the XML Schema	NCPDP DD 06/2009 (Appendix J) NCPDP ECL 06/2009 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v10.8 With XML Schema	02/2009 WG110037R		06/2009	07/2009		NCPDP DD 06/2009 (Appendix J) NCPDP ECL 06/2009 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v10.7 With XML Schema			11/2010		Republication of the XML Schema	NCPDP DD 03/2009 (Appendix J) NCPDP ECL 03/2009 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v10.7 With XML Schema			08/2010		Republication of the XML Schema	NCPDP DD 03/2009 (Appendix J) NCPDP ECL 03/2009 through most current X12 Latest Version

Standards Matrix

SCRIPT	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
SCRIPT Standard Implementation Guide v10.7 With XML Schema			08/2009		Republication of the XML Schema	NCPDP DD 03/2009 (Appendix J) NCPDP ECL 03/2009 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v10.7 With XML Schema	11/2008 WG110036R		03/2009	04/2009		NCPDP DD 03/2009 (Appendix J) NCPDP ECL 03/2009 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v10.6 With XML Schema			12/2012		Republication of the XML Schema	NCPDP DD 10/2008 (Appendix J) NCPDP ECL 10/2008 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v10.6 With XML Schema			10/2012		Republication of the XML Schema	NCPDP DD 10/2008 (Appendix J) NCPDP ECL 10/2008 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v10.6 With XML Schema			06/2012		Republication of the XML Schema	NCPDP DD 10/2008 (Appendix J) NCPDP ECL 10/2008 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v10.6 With XML Schema			09/2011		Republication of the XML Schema	NCPDP DD 10/2008 (Appendix J) NCPDP ECL 10/2008 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v10.6 With XML Schema			07/2011		Republication of the XML Schema	NCPDP DD 10/2008 (Appendix J) NCPDP ECL 10/2008 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v10.6 With XML Schema			06/2011		Republication of the XML Schema	NCPDP DD 10/2008 (Appendix J) NCPDP ECL 10/2008 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v10.6 With XML Schema			04/2011		Republication of the XML Schema	NCPDP DD 10/2008 (Appendix J) NCPDP ECL 10/2008 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v10.6 With XML Schema			11/2010		Republication of the XML Schema	NCPDP DD 10/2008 (Appendix J) NCPDP ECL 10/2008 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v10.6 With XML Schema			08/2010		Republication of the XML Schema	NCPDP DD 10/2008 (Appendix J) NCPDP ECL 10/2008 through most current X12 Latest Version

Standards Matrix

SCRIPT	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
<i>SCRIPT Standard Implementation Guide v10.6 With XML Schema</i>			08/2009		<i>Republication of the XML Schema</i>	NCPDP DD 10/2008 (Appendix J) NCPDP ECL 10/2008 through most current X12 Latest Version
<i>SCRIPT Standard Implementation Guide v10.6 With XML Schema</i>			02/2009		<i>Republication of the XML Schema</i>	NCPDP DD 10/2008 (Appendix J) NCPDP ECL 10/2008 through most current X12 Latest Version
<i>SCRIPT Standard Implementation Guide v10.6 With XML Schema</i>	05/2008 WG110034R		10/2008	11/2008	Named in 42 CFR Part 423 Medicare Program; Standards for EPrescribing Under Medicare Part D and Identification of Backward Compatible Version of Adopted Standard for EPrescribing and the Medicare Prescriptions Drug Program (Version 10.6); Final Rule	NCPDP DD 10/2008 (Appendix J) NCPDP ECL 10/2008 through most current X12 Latest Version See "NCPDP ePrescribing Standards 101.ppt" at <a href="http://www.ncdp.org/eprescribing.aspx">http://www.ncdp.org/eprescribing.aspx</a>
<i>SCRIPT Standard Implementation Guide v10.5</i>	02/2008 WG110033R		06/2008	09/2008	<i>XML guidance included in this document (no longer a standalone document) XML Schema included in this version and higher</i>	NCPDP DD 06/2008 (Appendix J) NCPDP ECL 06/2008 through most current X12 Latest Version
<i>SCRIPT Standard Implementation Guide v10.4</i>	11/2007 WG110031R		05/2008	05/2008		NCPDP DD 06/2008 (Appendix J) NCPDP ECL 06/2008 through most current X12 Latest Version
<i>SCRIPT Standard Implementation Guide v10.3</i>	08/2007 WG110030R		01/2008	02/2008		NCPDP DD 01/2008 (Appendix J) NCPDP ECL 01/2008 through most current X12 Latest Version
<i>SCRIPT Standard Implementation Guide v10.2</i>	02/2007 WG110027		07/2007	11/2007		NCPDP DD 07/2007 (Appendix J) NCPDP ECL 07/2007 through most current X12 Latest Version
<i>SCRIPT Standard Implementation Guide v10.1</i>	02/2007 WG110026R		07/2007	09/2007		NCPDP DD 07/2007 (Appendix J) NCPDP ECL 07/2007 through most current X12 Latest Version
<i>SCRIPT Standard Implementation Guide v10.0</i>	05/2006 WG110024R		10/2006	03/2007		NCPDP DD 10/2006 (Appendix J) NCPDP ECL 10/2006 through most current X12 Latest Version
<i>SCRIPT Standard Implementation Guide v9.0</i>	05/2005 WG110023		10/2005	11/2005		NCPDP DD 10/2005 (Appendix J) NCPDP ECL 10/2005 through most current X12 Latest Version

Standards Matrix

SCRIPT	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
SCRIPT Standard Implementation Guide v8.1	05/2005 WG110022R		10/2005		Named in 42 CFR Part 423 Medicare Program; Standards for EPrescribing Under Medicare Part D and Identification of Backward Compatible Version of Adopted Standard for EPrescribing and the Medicare Prescriptions Drug Program (Version 8.1); Final Rule	NCPDP DD 10/2005 (Appendix J) NCPDP ECL 10/2005 through most current X12 Latest Version
XML Companion Guide to SCRIPT v8.1			08/2010		Republication(schema updated November 2010)	
XML Companion Guide to SCRIPT v8.1	08/2007 WG110028R		01/2008		Republication	
XML Companion Guide to SCRIPT v8.1	05/2006 WG110025R		10/2006		Provides guidelines for consistent XML implementation of the SCRIPT Standard for the purpose of transmitting electronic prescription messages	
SCRIPT Standard Implementation Guide v8.0	03/2005 WG110020R		07/2005	09/2005		NCPDP DD 07/2005 (Appendix J) NCPDP ECL 07/2005 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v7.1	08/2004 WG110019		01/2005			NCPDP DD 01/2005 (Appendix J) NCPDP ECL 01/2005 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v7.0	08/2004 WG110018R		01/2005	04/2005		NCPDP DD 01/2005 (Appendix J) NCPDP ECL 01/2005 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v6.0	05/2004 WG110017R		10/2004	12/2004		NCPDP DD 10/2004 (Appendix J) NCPDP ECL 10/2004 through most current X12 Latest Version
SCRIPT Standard Implementation Guide v5.0	11/2003 WG110016R		05/2004	05/2004	First usage of ECL for SCRIPT Specification and Implementation Guide incorporated into one document Named in the Medicare Modernization Act 42 CFR Part 423 Medicare Program; E-Prescribing and the Prescription Drug Program; Final Rule	NCPDP DD 05/2004 (Appendix J) NCPDP ECL 05/2004 through most current X12 Latest Version
SCRIPT Standard v4.4	08/2003 WG110015R		02/2004			NCPDP DD 10/2003 (Appendix M – None added) X12 Latest Version
Implementation Guide v4.4			02/2004			
SCRIPT Standard v4.3	05/2003		10/2003			NCPDP DD 10/2003

Standards Matrix

SCRIPT	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
	WG110014R					(Appendix M – None added) X12 Version 3060
Implementation Guide v4.3			10/2003			
SCRIPT Standard v4.3	05/2002 WG110013R	F			The ballot approval percentage was not met	
Implementation Guide v4.3		F			The ballot approval percentage was not met	
SCRIPT Standard v4.2	08/2001 WG110012R		01/2002	01/2002		NCPDP DD 01/2002 (Appendix M – None added) X12 Version 3060
Implementation Guide v4.2			01/2002	01/2002		
SCRIPT Standard v4.1	05/2001 WG110011R		08/2001			NCPDP DD 08/2001 (Appendix M – None added) X12 Version 3060
Implementation Guide v4.1			08/2001			
SCRIPT Standard v4.0	02/2001 WG110010R		06/2001			NCPDP DD 05/2001 (Appendix M – None added) X12 Version 3060
Implementation Guide v4.0			06/2001			
SCRIPT Standard v3.1	11/2000 WG110009R		05/2001			NCPDP DD 05/2001 (Appendix M) X12 Version 3060
Implementation Guide v3.1			05/2001			
SCRIPT Standard v3.0			09/2000			X12 Version 3060
Implementation Guide v3.0			09/2000			
SCRIPT Standard v2.0			06/2000			X12 Version 3060
Implementation Guide v2.0			06/2000			
SCRIPT Standard v1.5			08/1999			X12 Version 3060
Implementation Guide v1.5			08/1999			
SCRIPT Standard v1.4			04/1999			X12 Version 3060
Implementation Guide v1.4			04/1999			
SCRIPT Standard v1.3			02/19/99	10/1999		X12 Version 3060
Implementation Guide v1.3			02/1999	10/1999		
SCRIPT Standard v1.2			10/1998			X12 Version 3060
Implementation Guide v1.2			10/1998			
SCRIPT Standard v1.1			09/1997			X12 Version 3060
Implementation Guide v1.1			10/1997			
SCRIPT Standard v1.0			04/1997			X12 Version 3060
Implementation Guide v1.0			10/1997			

## Signature Log

This one page form, which is used by pharmacies, is a standard signature log (third party insurance/counseling claim log). It can be pulled down from the website and copied as needed. The form is free of charge. This form has been requested in the past in paper form; NCPDP is making it available electronically.

Transaction exchanges include:

*Not applicable*



## Specialized Standard Implementation Guide

The Specialized Standard Implementation Guide document was developed for transmitting information electronically between prescribers, providers, and other entities. The standard addresses the electronic transmission of census information about a patient between a facility and a pharmacy, medication therapy management transactions between providers, payers, pharmacies, and other entities. It includes transactions for clinical information exchanges. It will include other transactions for electronic exchanges between these entities in the future.

See "[XML Standard](#)" information.

Transaction exchanges include:

*Census*

*Medication Management Therapy and Other Patient Care Service Request/Response*

*Query (Clinical Information Request/Response)*

**Table of Specialized Versions in Model-driven Schemas**

Specialized	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/SCHEMA/XML Standard Release Dates
<i>Specialized Standard Implementation Guide v2017xx# v2017xx# Specialized Standard Examples V1x</i>	08/2017 WG110077	D				
<i>Specialized Standard Implementation Guide v2017071 V2017071 Specialized Standard Examples V10</i>	02/2017 WG110072		07/2017	08/2017		NCPDP DD 07/2017 NCPDP ECL07/2017 through most current NCPDP 2017071.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized.xsd script.xsd ecl.xsd XML Standard v2017071
<i>Specialized Standard Implementation Guide v2017011 V2017011 Specialized Standard Examples Guide V10</i>	08/2016 WG110070		01/2017	01/2017	<b><i>This version of the standard moves Transmission Examples to a Specialized Standard Examples Guide</i></b>	NCPDP DD 01/2017 NCPDP ECL01/2017 through most current NCPDP 2017011.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized.xsd script.xsd ecl.xsd XML Standard v2016041
<i>Specialized Standard Implementation Guide v2016041</i>	11/2015 WG110066R 08/2015		04/2016	04/2016	<b><i>This version of the standard removes the pa-structures.xsd from the xml schema</i></b>	NCPDP DD 04/2016 NCPDP ECL04/2016 through most current NCPDP 2016041.XML.zip (SCHEMAS):

Standards Matrix

Specialized	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/SCHEMA/XML Standard Release Dates
	WG110066					transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2016041
<i>Specialized Standard Implementation Guide v2015071</i>	02/2015 WG110064		07/2015	08/2015		NCPDP DD 07/2015 NCPDP ECL07/2015 through most current NCPDP 2015071.XML.zip (SCHEMAS): pa-structures.xsd transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2015071
<i>Specialized Standard Implementation Guide v2015041</i>	11/2014 WG110062R 08/2014 WG110062		04/2015	05/2015		NCPDP DD 04/2015 NCPDP ECL04/2015 through most current NCPDP 2015041.XML.zip (SCHEMAS): pa-structures.xsd transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2014101
<i>Specialized Standard Implementation Guide v2014101</i>	05/2014 WG110060		10/2014	10/2014		NCPDP DD 10/2014 NCPDP ECL10/2014 through most current NCPDP 2014101.XML.zip (SCHEMAS): pa-structures.xsd transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2014101
<i>Specialized Standard Implementation Guide v2014072</i>	02/2014 WG110058R 11/2013 WG110058		07/2014	07/2014	Republication of the XML Schema September 2014	NCPDP DD 07/2014 NCPDP ECL07/2014 through most current NCPDP 2014072.XML.zip (SCHEMAS): pa-structures.xsd

Standards Matrix

Specialized	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/SCHEMA/XML Standard Release Dates
	11/2013 MC000006					transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2014071
Specialized Standard Implementation Guide v2014072	02/2014 WG110058R 11/2013 WG110058 11/2013 MC000006		07/2014	07/2014		NCPDP DD 07/2014 NCPDP ECL07/2014 through most current NCPDP 2014072.XML.zip (SCHEMAS): pa-structures.xsd transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2014071
Specialized Standard Implementation Guide v2014041	11/2013 WG110057R 08/2013 WG110057		04/2014	05/2014	Republication of the XML Schema September 2014 SCHEMAS updated May 2014	NCPDP DD 04/2014 NCPDP ECL04/2014 through most current NCPDP 2014041.XML.zip (SCHEMAS): pa-structures.xsd transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2014041
Specialized Standard Implementation Guide v2014041	11/2013 WG110057R 08/2013 WG110057		04/2014	05/2014	SCHEMAS updated May 2014	NCPDP DD 04/2014 NCPDP ECL04/2014 through most current NCPDP 2014041.XML.zip (SCHEMAS): pa-structures.xsd transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2014041
Specialized Standard Implementation Guide v2013101	05/2013 WG110054		10/2013	10/2013	Implementation Guide and SCHEMAS updated May 2014	NCPDP DD 10/2013 NCPDP ECL10/2013 through most current NCPDP 2013101.XML.zip (SCHEMAS): pa-structures.xsd

Standards Matrix

Specialized	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/SCHEMA/XML Standard Release Dates
						transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2013101
Specialized Standard Implementation Guide v2013071	02/2013 WG110053		07/2013	09/2013		NCPDP DD 07/2013 NCPDP ECL 07/2013 through most current NCPDP 2013071.XML.zip (SCHEMAS): pa-structures.xsd transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2013071
Specialized Standard Implementation Guide v2013041	11/2012 MC000005		04/2013	04/2013		NCPDP DD 04/2013 NCPDP ECL 04/2013 through most current NCPDP 2013041.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2013041
Specialized Standard Implementation Guide v2013011	08/2012 MC000004R		01/2013	01/2013		NCPDP DD 01/2013 NCPDP ECL 01/2013 through most current NCPDP 2013011.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2012031
Specialized Standard Implementation Guide v2012031	11/2011 WG110050		04/2012	06/2012		NCPDP DD 04/2012 NCPDP ECL 04/2012 through most current NCPDP 2012031.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd

Standards Matrix

Specialized	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/SCHEMA/XML Standard Release Dates
						specialized xsd script.xsd ecl.xsd XML Standard v2012031
Specialized Standard Implementation Guide v2011071					<i>Republication of the XML Schema</i>	NCPDP DD 07/2011 NCPDP ECL 07/2011 through most current NCPDP 2011072.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2011071
Specialized Standard Implementation Guide v2011071	02/2011 WG100006		07/2011	08/2011		NCPDP DD 07/2011 NCPDP ECL 07/2011 through most current NCPDP 2011071.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2011071
Specialized Standard Implementation Guide v2010121					<i>Republication of the XML Schema</i>	NCPDP DD 12/2010 NCPDP ECL 12/2010 through most current NCPDP 2010124.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2010121
Specialized Standard Implementation Guide v2010121					<i>Republication of the XML Schema</i>	NCPDP DD 12/2010 NCPDP ECL 12/2010 through most current NCPDP 2010123.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized xsd script.xsd ecl.xsd XML Standard v2010121

Standards Matrix

Specialized	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/SCHEMA/XML Standard Release Dates
Specialized Standard Implementation Guide v2010121					<i>Republication of the XML Schema</i>	NCPDP DD 12/2010 NCPDP ECL 12/2010 through most current NCPDP 2010122.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized.xsd script.xsd ecl.xsd XML Standard v2010121
Specialized Standard Implementation Guide v2010121			12/2010	01/2011		NCPDP DD 12/2010 NCPDP ECL 12/2010 through most current NCPDP 2010121.XML.zip (SCHEMAS): transport.xsd structures.xsd datatypes.xsd specialized.xsd script.xsd ecl.xsd XML Standard v2010121

## Specialty Pharmacy Data Reporting Standard Implementation Guide

The Specialty Pharmacy Data Reporting Standard Implementation Guide document was developed to support the communication of specialty pharmacy dispensing data from a pharmacy to multiple manufacturers throughout the industry in a standard format.

The implementation of a specialty pharmacy data reporting standard would result in:

- faster processing and payments
- increased efficiency and accuracy of data submission and payments
- one data submission format for multiple manufacturers
- increased data quality and improve data correction process
- consistent contract negotiations across manufacturers for data layout
- elimination of the need for pharmacies to create internal mapping processes to standardize unique data formats from each manufacturer

Specialized	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/SCHEMA/XML Standard Release Dates
<i>Specialty Pharmacy Data Reporting Standard Implementation Guide v10</i>	08/2017 WG070012	D				

## Structured and Codified Sig Format

This NCPDP *Structured and Codified Sig Format Implementation Guide* is intended to standardize the portion of an electronic prescription containing the directions for use using existing, accepted electronic transmission standards, such as NCPDP SCRIPT, Health Level 7 (HL7) (such as messaging, or the Continuity of Care Document (CCD)), and ASTM Continuity of Care Records (CCR). This document is intended to facilitate communication between prescribers and pharmacists, to improve the efficiency of the prescribing and dispensing activities and to help reduce the opportunity for errors. This document may also be appropriate for other business cases where the Sig is used. The NCPDP *Structured and Codified Sig Format Implementation Guide* is not an ANSI-accredited Standard, and it is not intended to be. Organizations responsible for standards such as those above are expected to incorporate the contents and structure of this implementation guide into their standards.

Transaction exchanges include:  
*Not applicable*

Structured and Codified Sig Format	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/SCHEMA Release Dates
<i>Structured and Codified Sig Format v2.1</i>	11/2015 WG010008R 08/2015 WG100008		04/2016	N/A		N/A
<i>Structured and Codified Sig Format v2.0</i>	WG100007		10/2012	N/A		N/A
<i>Structured and Codified Sig Format v1.2</i>			09/2011	N/A	Version 1.2 of the Structured and Codified Sig Format was released September 2011 with changes incorporated into the SCRIPT Standard Version 2011091.	N/A
<i>Structured and Codified Sig Format v1.1</i>	N/A		07/2011	N/A	<b>Republication</b>	N/A
<i>Structured and Codified Sig Format v1.1</i>	N/A		03/2011	N/A		N/A
<i>Structured and Codified Sig Format v1.0</i>	WG100004R		05/2008	N/A	Component of the SCRIPT Standard Version 10 Release 4 - May 2008. Sig Guidance was incorporated into the SCRIPT Standard Version 10.4 and above.	N/A



## Telecommunication Standard Implementation Guide

The Telecommunication Standard was developed to provide a standard format for the electronic submission of third party drug claims. The development of the standard was to accommodate the eligibility verification process at the point-of-sale and to provide a consistent format for electronic claims processing. The Telecommunication Standard includes transactions for eligibility verification, claim and service billing, predetermination of benefits, prior authorization, information reporting, and controlled substance (general and regulated) transaction exchanges.

NCPDP recommends the use of a standardized format for electronic communication of claims and other transactions between pharmacy providers, insurance carriers, third-party administrators, and other responsible parties. This standard addresses the data format and content, the transmission protocol, and other appropriate telecommunication requirements.

Usage of a common transaction format brings advantages to participants in the pharmacy industry. There are significant advantages to both the Originator of the transaction and the Processor of the transaction by adopting this version of the standard, such as:

- Common syntax and dictionary
- Adaptability
- Reduced system development expense
- Reduced equipment requirements
- Reduced errors

Transaction exchanges include:

*Eligibility Verification*  
*Claim Billing*  
*Claim Reversal*  
*Claim Rebill*  
*Predetermination of Benefits*  
*Service Billing*  
*Service Reversal*  
*Service Rebill*  
*Prior Authorization Request And Billing*  
*Prior Authorization Request Only*  
*Prior Authorization Inquiry*  
*Prior Authorization Reversal*  
*Information Reporting*  
*Information Reporting Reversal*  
*Information Reporting Rebill*

### ***Telecommunication Version D and Above Questions, Answers and Editorial Updates***

This document provides a consolidated reference point for questions that have been posed based on the review and implementation of the NCPDP Telecommunication Standard Implementation Guide Version D and above, the Data Dictionary, and the External Code List. This document also addresses editorial changes made to these documents.

Standards Matrix

As members review the documents, questions arise which are not specifically addressed in the guides or could be clarified further. These questions are addressed in the Work Group 1 Telecommunication meetings.

Editorial changes include typographical errors, comments that do not match a field value, a reference pointer in error. This document is updated as often as quarterly and is available at <https://www.ncdpd.org/Resources/HIPAA>

**NCPDP Emergency Preparedness**

This document provides guidance for the pharmacy industry for resources available during a declared emergency. The intended audience is healthcare industry providers who would need resource information for eligibility and claims processing affecting displaced individuals. It is available at <https://www.ncdpd.org/Resources/Emergency-Preparedness>

Telecommunication	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary/ECL/X12 Version
<i>Telecommunication Standard Implementation Guide vF2 Version F2 Telecommunication Standard Examples Guide V10</i>	05/2017 WG010075R 02/2017 WG010075		10/2017			NCPDP DD 10/2017 NCPDP ECL 10/2017 through most current
<i>Telecommunication Standard Implementation Guide vEB Version EB Telecommunication Standard Examples Guide V10</i>	11/2016 WG010072R 08/2016 WG010072		04/2017	04/2017	<b><i>This version of the standard moves Transmission Examples to a Telecommunication Standard Examples Guide</i></b>	NCPDP DD 04/2017 NCPDP ECL 04/2017 through most current
<i>Telecommunication Standard Implementation Guide vE9</i>	02/2016 WG010068		10/2016			NCPDP DD 10/2016 NCPDP ECL 10/2016 through most current
<i>Telecommunication Standard Implementation Guide vE8</i>	08/2015 WG010066		01/2016	3/2016		NCPDP DD 01/2016 NCPDP ECL 01/2016 through most current
<i>Telecommunication Standard Implementation Guide vE7</i>	02/2015 WG010065		07/2015	07/2015		NCPDP DD 07/2015 NCPDP ECL 07/2015 through most current
<i>Telecommunication Standard Implementation Guide vE6</i>	05/2014 WG010062		10/2014	10/2014		NCPDP DD 10/2014 NCPDP ECL10/2014 through most current
<i>Telecommunication Standard Implementation Guide vE5</i>	11/2013 WG010060 11/2013 MC000006		04/2014	04/2014		NCPDP DD 04/2014 NCPDP ECL 04/2014 through most current
<i>Telecommunication Standard Implementation Guide vE.4</i>	08/2013 WG010059		01/2014	01/2014		NCPDP DD 01/2014 NCPDP ECL 01/2014 through most current
<i>Telecommunication Standard Implementation Guide vE.3</i>	02/2013 WG010058 05/2013 WG010058R		10/2013	10/2013		NCPDP DD 10/2013 NCPDP ECL10/2013 through most current
<i>Telecommunication Standard Implementation Guide vE.2</i>	11/2012 WG010057 and MC000005		04/2013	04/2013		NCPDP DD 04/2013 NCPDP ECL 04/2013 through most current
<i>Telecommunication Standard Implementation</i>	08/2012		01/2013	02/2013		NCPDP DD 01/2013

Standards Matrix

Telecommunication	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary/ECL/X12 Version
<i>Guide vE.1</i>	MC000004R					NCPDP ECL 01/2013 through most current
<i>Telecommunication Standard Implementation Guide vE.0</i>	05/2012 WG010054		01/2013	01/2013		NCPDP DD 01/2013 NCPDP ECL 01/2013 through most current
<i>Telecommunication Standard Implementation Guide vD.9</i>			11/2012		Republication	NCPDP DD 01/2012 NCPDP ECL 01/2012 through most current
<i>Telecommunication Standard Implementation Guide vD.9</i>	08/2011 WG010052		01/2012	01/2012		NCPDP DD 01/2012 NCPDP ECL 01/2012 through most current
<i>Telecommunication Standard Implementation Guide vD.8</i>			11/2012		Republication	NCPDP DD 10/2011 NCPDP ECL 10/2011 through most current
<i>Telecommunication Standard Implementation Guide vD.8</i>	05/2011 WG010051		09/2011	10/2011		NCPDP DD 10/2011 NCPDP ECL 10/2011 through most current
<i>Telecommunication Standard Implementation Guide vD.7</i>			11/2012		Republication	NCPDP DD 07/2011 NCPDP ECL 07/2011 through most current
<i>Telecommunication Standard Implementation Guide vD.7</i>	02/2011 WG010047		07/2011	08/2011		NCPDP DD 07/2011 NCPDP ECL 07/2011 through most current
<i>Telecommunication Standard Implementation Guide vD.6</i>			11/2012		Republication	NCPDP DD 12/2010 NCPDP ECL 12/2010 through most current
<i>Telecommunication Standard Implementation Guide vD.6</i>			05/2011		Republication	NCPDP DD 12/2010 NCPDP ECL 12/2010 through most current
<i>Telecommunication Standard Implementation Guide vD.6</i>	08/2010 WG010044		12/2010	01/2011		NCPDP DD 12/2010 NCPDP ECL 12/2010 through most current
<i>Telecommunication Standard Implementation Guide vD.5</i>			11/2012		Republication	NCPDP DD 09/2010 NCPDP ECL 09/2010 through most current
<i>Telecommunication Standard Implementation Guide vD.5</i>			05/2011		Republication	NCPDP DD 09/2010 NCPDP ECL 09/2010 through most current
<i>Telecommunication Standard Implementation Guide vD.5</i>	05/2010 WG010043		09/2010	09/2010		NCPDP DD 09/2010 NCPDP ECL 09/2010 through most current
<i>Telecommunication Standard Implementation Guide vD.4</i>			11/2012		Republication	NCPDP DD 01/2010 NCPDP ECL 01/2010 through most current
<i>Telecommunication Standard Implementation Guide vD.4</i>			08/2010		Republication	NCPDP DD 01/2010 NCPDP ECL 01/2010 through most current
<i>Telecommunication Standard Implementation Guide vD.4</i>	02/2010 WG010042		06/2010	06/2010		NCPDP DD 06/2010 NCPDP ECL 06/2010 through most current
<i>Telecommunication Standard Implementation Guide vD.3</i>			11/2012		Republication	NCPDP DD 03/2010 NCPDP ECL 03/2010 through most current
<i>Telecommunication Standard Implementation Guide vD.3</i>			08/2010		Republication	NCPDP DD 03/2010 NCPDP ECL 03/2010 through most current
<i>Telecommunication Standard Implementation Guide vD.3</i>	11/2009 WG010039R		03/2010	5/2010		NCPDP DD 03/2010 NCPDP ECL 03/2010 through most current
<i>Telecommunication Standard Implementation Guide vD.3</i>	05/2009 WG010038	F			The ballot approval percentage was not met. Balloted items were incorporated into Ballot WG010039	
<i>Telecommunication Standard Implementation</i>			11/2012		Republication	NCPDP DD 06/2009

Standards Matrix

Telecommunication	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary/ECL/X12 Version
Guide vD.2						NCPDP ECL 06/2009 through most current
Telecommunication Standard Implementation Guide vD.2			08/2010		Republication	NCPDP DD 06/2009 NCPDP ECL 06/2009 through most current
Telecommunication Standard Implementation Guide vD.2			10/2009		Republication	NCPDP DD 06/2009 NCPDP ECL 06/2009 through most current
Telecommunication Standard Implementation Guide vD.2			07/2009		Republication	NCPDP DD 06/2009 NCPDP ECL 06/2009 through most current
Telecommunication Standard Implementation Guide vD.2	02/2009 WG010035R		06/2009	07/2009		NCPDP DD 06/2009 NCPDP ECL 06/2009 through most current
Telecommunication Standard Implementation Guide vD.1			11/2012		Republication	NCPDP DD 10/2008 NCPDP ECL 10/2008 through most current
Telecommunication Standard Implementation Guide vD.1			08/2010		Republication	NCPDP DD 10/2008 NCPDP ECL 10/2008 through most current
Telecommunication Standard Implementation Guide vD.1			07/2009		Republication	NCPDP DD 10/2008 NCPDP ECL 10/2008 through most current
Telecommunication Standard Implementation Guide vD.1	05/2008 WG010032R		10/2008	02/2009		NCPDP DD 10/2008 NCPDP ECL 10/2008 through most current
Telecommunication Standard Implementation Guide vD.0			11/2012		Republication	NCPDP DD 07/2007 NCPDP ECL 07/2007 through most current
Telecommunication Standard Implementation Guide vD.0			08/2010		Republication	NCPDP DD 07/2007 NCPDP ECL 07/2007 through most current
Telecommunication Standard Implementation Guide vD.0			07/2009		Republication	NCPDP DD 07/2007 NCPDP ECL 07/2007 through most current
Telecommunication Standard Implementation Guide vD.0	02/2007 WG010029R		07/2007	08/2007	<b>Named in the January 2009 modifications to the Health Insurance Portability and Accountability Act (HIPAA) Electronic Transaction Standards; Final Rule (45 CFR Part 162) for use by January 1, 2012</b>	NCPDP DD 07/2007 NCPDP ECL 07/2007 through most current
Telecommunication Standard Implementation Guide vC.4	05/2006 WG010028R		01/2007	03/2007		NCPDP DD 01/2007 NCPDP ECL 01/2007 through most current
Telecommunication Standard Implementation Guide vC.3	03/2006 WG010026R		09/2006	11/2006		NCPDP DD 09/2006 NCPDP ECL 09/2006 through most current
Telecommunication Standard Implementation Guide vC.2	11/2005 WG010025R		06/2006	10/2006		NCPDP DD 06/2006 NCPDP ECL 06/2006 through most current
Telecommunication Standard Implementation Guide vC.1	05/2005 WG010024R		10/2005			NCPDP DD 10/2005 NCPDP ECL 10/2005 through most current
Telecommunication Standard Implementation Guide vC.0	03/2005 WG010023R		07/2005	09/2005		NCPDP DD 07/2005 NCPDP ECL 07/2005 through most current
Telecommunication Standard Implementation Guide vB.0	11/2004 WG010022R		05/2005	06/2005	Specification and Implementation Guide incorporated into one document Incorporated the PPS Implementation Guide Version 5 and above	NCPDP DD 05/2005 NCPDP ECL 05/2005 through most current
Telecommunication Standard vA.1	05/2004		10/2004	10/2004		NCPDP DD 10/2004

Standards Matrix

Telecommunication	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary/ECL/X12 Version
	WG010021					NCPDP ECL 10/2004 through most current
Implementation Guide vA.1			10/2004	10/2004		
<i>Telecommunication Standard vA.0</i>	02/2004 WG010020R		08/2004			NCPDP DD 08/2004 NCPDP ECL 08/2004 through most current
Implementation Guide vA.0			08/2004			
<i>Telecommunication Standard v9.0</i>	11/2003 WG010019R		05/2004	05/2004	First usage of ECL for Telecommunication	NCPDP DD 05/2004 NCPDP ECL 05/2004 through most current
Implementation Guide v9.0			05/2004	05/2004		
<i>Telecommunication Standard v8.3</i>	05/2009 WG010018		10/2003			NCPDP DD 10/2003
Implementation Guide v8.3			10/2003			
<i>Telecommunication Standard v8.2</i>	05/2003 WG010017R		10/2003			NCPDP DD 10/2003
Implementation Guide v8.2			10/2003			
<i>Telecommunication Standard v8.1</i>	03/2003 WG010016R		08/2003			NCPDP DD 08/2003
Implementation Guide v8.1			08/2003			
<i>Telecommunication Standard v8.0</i>	08/2002 WG010015R		02/2003			NCPDP DD 02/2003
Implementation Guide v8.0			02/2003			
<i>Telecommunication Standard v7.2</i>	05/2002 WG010014R	F			The ballot approval percentage was not met	
Implementation Guide v7.2		F			The ballot approval percentage was not met	
<i>Telecommunication Standard v7.1</i>	11/2001 WG010013R		06/2002			NCPDP DD 06/2002
Implementation Guide v7.1			06/2002			
<i>Telecommunication Standard v7.0</i>	08/2001 WG010012R		01/2002	01/2002		NCPDP DD 01/2002
Implementation Guide v7.0			01/2002	01/2002		
<i>Telecommunication Standard v6.0</i>	08/2001 WG010011R		01/2002			NCPDP DD 01/2002
Implementation Guide v6.0			01/2002			
<i>Telecommunication Standard v5.6</i>	02/2001 WG010010R		08/2001		Incorporated the Prior Authorization and the Compound Transaction Implementation Guides	NCPDP DD 08/2001
Implementation Guide v5.6			08/2001			
<i>Telecommunication Standard v5.5</i>	08/2000 WG010009R		11/2000			NCPDP DD 11/2000
Implementation Guide v5.5			11/2000			
<i>Telecommunication Standard v5.4</i>			09/2000			NCPDP DD 09/2000
Implementation Guide v5.4			09/2000			

Standards Matrix

Telecommunication	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary/ECL/X12 Version
<i>Telecommunication Standard v5.3</i>			06/2000			NCPDP DD 06/2000
Implementation Guide v5.3			06/2000			
<i>Telecommunication Standard v5.2</i>			05/2000			NCPDP DD 06/2000
Implementation Guide v5.2			05/2000			
<i>Telecommunication Standard v5.1</i>			09/1999		<p><b>Named in the <i>Federal Register</i> from the Department of Health and Human Services, the Office of the Secretary regarding the final rule of transaction sets of the Health Insurance Portability and Accountability Act of 1996 (HIPAA).</b></p> <p>Eligibility transaction named in the Medicare Modernization Act 42 CFR Part 423</p> <p>Medicare Program; E-Prescribing and the Prescription Drug Program; Final Rule and Named in 42 CFR Part 423</p> <p>Medicare Program; Standards for EPrescribing</p> <p>Under Medicare Part D and Identification of Backward Compatible Version of Adopted Standard for EPrescribing and the Medicare Prescriptions Drug Program (Version 8.1); Final Rule</p>	NCPDP DD 09/1999
Implementation Guide v5.1			09/1999			
<i>Telecommunication Standard v5.0</i>			06/1999			NCPDP DD 08/1999
Implementation Guide v5.0			06/1999			
<i>Telecommunication Standard v4.2</i>			10/1998			NCPDP DD 10/1998 and Data Dictionary Quick Reference 4.2 dated 10/1998
Implementation Guide v3.3 - v4.2			10/1999			
<i>Telecommunication Standard v4.1</i>			08/1997			NCPDP DD 07/1997 and Data Dictionary Quick Reference 4.1 dated 07/1997
<i>Telecommunication Standard v4.0</i>			10/1996			NCPDP DD 10/1996 and Data Dictionary Quick Reference 4.0 dated 10/1996
<i>Telecommunication Standard v3.5</i>			10/1996			NCPDP DD 10/1996 and Data Dictionary Quick Reference 3.5 dated 10/1996
<i>Telecommunication Standard v3.4</i>			06/1996			NCPDP DD 06/1996 and Data Dictionary Quick Reference 3.4 dated 06/1996
<i>Telecommunication Standard v3.3</i>			02/19/96			NCPDP DD 02/1996 and Data Dictionary Quick Reference 3.3 dated 02/1996
<i>Telecommunication Standard v3.2</i>			02/1992	09/1997		NCPDP DD 01/1996 and Data Dictionary Quick Reference 3.2 dated 01/1996

Telecommunication	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary/ECL/X12 Version
Implementation Guide v3.2			10/1999	09/1997		

## Uniform Healthcare Payer Data Standard Implementation Guide

The NCPDP *Uniform Healthcare Payer Data Standard Implementation Guide* is used by Client Groups, Pharmacy Benefit Managers (PBMs), Fiscal Agents, Vendors, and Administrative Oversight Organizations and State entities to share pharmacy claim data that is used to support statistical reporting, evaluation of healthcare, and State or regional reporting requirements. This standard should only be used for data submission to a state agency or to a state-sponsored healthcare payer data collection initiative.

File exchanges include:

*Uniform Healthcare Payer Data file*

Uniform Healthcare Payer Data Standard	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary /ECL/X12 Version
Uniform Healthcare Payer Date Standard Implementation Guide V24	05/2017 WG010075R 02/2017 WG010075		10/2017			NCPDP DD 10/2017 NCPDP ECL 10/2017 through most current
Uniform Healthcare Payer Date Standard Implementation Guide V23	11/2016 WG010072R 08/2016 WG010072		04/2017	04/2017		NCPDP DD 04/2017 NCPDP ECL 04/2017 through most current
Uniform Healthcare Payer Date Standard Implementation Guide V22	11/2013 MC000006		04/2014	04/2014		NCPDP DD 04/2014 NCPDP ECL 04/2014 through most current
Uniform Healthcare Payer Date Standard Implementation Guide V2.1	11/2012 MC000005		04/2013	04/2013		NCPDP DD 04/2013 NCPDP ECL 04/2013 through most current
Uniform Healthcare Payer Date Standard Implementation Guide V2.0	08/2012 MC000004R		01/2013	01/2013		NCPDP DD 01/2013 NCPDP ECL 01/2013 through most current
Uniform Healthcare Payer Date Standard Implementation Guide V1.0	05/2011 WG010049		09/2011			NCPDP DD 10/2011 NCPDP ECL 10/2011 through most current



## Universal Claim Form

The Universal Claim Form provides a standard format for the paper submission of third party drug claims. The Universal Claim Form does adhere to the data elements found in the Telecommunication Standard and Data Dictionary. For information about the use of the form for multi-ingredient compounds, go to <http://www.ncdp.org/products.aspx> under "Universal Claim Form".

The Workers' Compensation/Property and Casualty Universal Claim Form provides a standard format for the paper submission of Workers' Compensation/Property and Casualty drug claims. This form was designed for payer's to quickly determine claim type, state of jurisdiction and process accordingly. The Workers' Compensation/Property and Casualty UCF supports the Telecommunication Standard Version D.0 and above, but can be used in today's business.

### **Manual Claim Forms Reference**

This implementation guide was created to support the implementation of the Universal Claim Form and the Workers' Compensation/Property and Casualty Universal Claim Form that align to the Telecommunication Standard Implementation Guide Versions D.0 and greater. It provides the essential features of paper submission of claims and guidance information for completing and processing these forms.

Manual Claim Forms Reference	Ballot Info	DRAFT	Approved NCPDP	Comment	Data Dictionary /ECL/X12 Version
Manual Claim Forms Reference Guide v1.4			07/2015		NCPDP DD 07/2015 ECL 07/2015 through most current
Manual Claim Forms Reference Guide v1.3			10/2013		NCPDP DD 10/2013 ECL 06/2009 through most current
Manual Claim Forms Reference Guide v1.2			12/2012		NCPDP DD 12/2012 ECL 06/2009 through most current
Manual Claim Forms Reference Guide v1.1				Revised 03/2012	NCPDP DD 06/2009 ECL 06/2009 through most current
Manual Claim Forms Reference Guide v1.1				Revised 10/2011	NCPDP DD 06/2009 ECL 06/2009 through most current
Manual Claim Forms Reference Guide v1.1				Revised 03/2011	NCPDP DD 06/2009 ECL 06/2009 through most current
Manual Claim Forms Reference Guide v1.1	NA		06/2009		NCPDP DD 06/2009 ECL 06/2009 through most current
Manual Claim Forms Reference Guide v1.0	05/2008 WG010033		10/2008		Not applicable

### **Universal Claim Form Purchase**

The NCPDP Universal Claim Forms may be purchased from our vendor, CommuniForm. See <http://www.ncdp.org/products.aspx> under Universal Claim Form for guidance on the forms and ordering information. CommuniForm supports the:

- "Credit Card" style form (old name DAH 3-97) (new name PUCFCC)
- "Version 5" continuous feed form (old name DAH 2PT) (new name PUCF2PT)
- "Version 5" laser form (old name UCF L1) (new name PUCF1PT)

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- "Version D.0" continuous feed form (PUCF-D02PT)
- "Version D.0" laser form (PUCF-D01PT)

Also Offered:

- Pharmacy Workers' Compensation/Property and Casualty laser form (WUCF-1PT) – Fall 2011

## XML Standard

The XML Standard is an integral piece of the NCPDP XML-based standards. The XML Standard document provides the basis for XML-based transactions used in NCPDP and supports the general transactions that may be used in implementation guides. See the [“SCRIPT Standard Implementation Guide”](#) and [“Specialized Standard Implementation Guide”](#) sections.

### Important Versioning for Model-Based Environment

The standard moves via date (CCYMM) with a one-digit numeric sequence. This sequence will always be 1 unless two ballots for the same implementation guide are approved for publication at the same time. In this unique instance, there will be a sequence of 1 for the first publication approved on that date, and a sequence of 2 for the second publication approved on that same date.

The implementation guides for the XML Standard move via date (CCYMM) with the same one-digit numeric sequence, with the same rules for the sequence.

The transport, datatypes, structures, specialized and script schemas move via date (CCYMM) with the same one-digit numeric sequence, with the same rules for the sequence.

The ecl schema moves via date only (CCYMM) with no one-digit numeric sequence. (NCPDP Dictionaries and external code lists are published quarterly reflecting all changes that quarter.)

Transaction exchanges include:

*Get Message*  
*Status*  
*Error*  
*Verify*  
*Password Change*

XML Standard and Specialized Implementation Guide	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary/ECL/X12 Version
XML Standard v2017xx#	08/2017 WG110077	D				
XML Standard v2017071	02/2017 WG110072		07/2017	NA		See <a href="#">“SCRIPT Standard Implementation Guide”</a> . See <a href="#">“Specialized Standard Implementation Guide”</a>
XML Standard v2016041	11/2015 WG110066R 08/2015 WG110066		04/2016	NA		See <a href="#">“SCRIPT Standard Implementation Guide”</a> . See <a href="#">“Specialized Standard Implementation Guide”</a>
XML Standard v2015071	02/2015 WG110064		07/2015	NA		See <a href="#">“SCRIPT Standard Implementation Guide”</a> . See <a href="#">“Specialized Standard Implementation Guide”</a>
XML Standard v2014101	05/2014 WG110060		10/2014	NA		See <a href="#">“SCRIPT Standard Implementation Guide”</a> . See <a href="#">“Specialized Standard Implementation Guide”</a>

Standards Matrix

XML Standard and Specialized Implementation Guide	Ballot Info	DRAFT	Approved NCPDP	Approved ANSI	Comment	Data Dictionary/ECL/X12 Version
XML Standard v2014071	02/2014 WG110058R 11/2013 WG110058 11/2013 MC000006		07/2014	NA	Republication of the XML Schema September 2014	See " <a href="#"><i>SCRIPT Standard Implementation Guide</i></a> ". See " <a href="#"><i>Specialized Standard Implementation Guide</i></a> ".
XML Standard v2014071	02/2014 WG110058R 11/2013 WG110058 11/2013 MC000006		07/2014	NA		See " <a href="#"><i>SCRIPT Standard Implementation Guide</i></a> ". See " <a href="#"><i>Specialized Standard Implementation Guide</i></a> ".
XML Standard v2014041	11/2013 WG110057R 08/2013 WG110057		04/2014	NA	Republication of the XML Schema September 2014 SCHEMAS updated May 2014	See " <a href="#"><i>SCRIPT Standard Implementation Guide</i></a> ". See " <a href="#"><i>Specialized Standard Implementation Guide</i></a> ".
XML Standard v2014041	11/2013 WG110057R 08/2013 WG110057		04/2014	NA	SCHEMAS updated May 2014	See " <a href="#"><i>SCRIPT Standard Implementation Guide</i></a> ". See " <a href="#"><i>Specialized Standard Implementation Guide</i></a> ".
XML Standard v2013101	05/2013 WG110054		10/2013	NA	SCHEMAS updated May 2014	See " <a href="#"><i>SCRIPT Standard Implementation Guide</i></a> ". See " <a href="#"><i>Specialized Standard Implementation Guide</i></a> ".
XML Standard v2013071	02/2013 WG110053		07/2013	NA		See " <a href="#"><i>SCRIPT Standard Implementation Guide</i></a> ". See " <a href="#"><i>Specialized Standard Implementation Guide</i></a> ".
XML Standard v2013041	11/2012 MC000005		04/2013	NA		See " <a href="#"><i>SCRIPT Standard Implementation Guide</i></a> ". See " <a href="#"><i>Specialized Standard Implementation Guide</i></a> ".
XML Standard v2013011	08/2012 MC000004R		01/2013	NA		See " <a href="#"><i>SCRIPT Standard Implementation Guide</i></a> ". See " <a href="#"><i>Specialized Standard Implementation Guide</i></a> ".
XML Standard v2012031	11/2011 WG110050		03/2012	NA		See " <a href="#"><i>SCRIPT Standard Implementation Guide</i></a> ". See " <a href="#"><i>Specialized Standard Implementation Guide</i></a> ".
XML Standard v2011071	02/2011 WG100006		07/2011	NA		See " <a href="#"><i>SCRIPT Standard Implementation Guide</i></a> ". See " <a href="#"><i>Specialized Standard Implementation Guide</i></a> ".
XML Standard v2010121	08/2010 WG100005		12/2010	NA		See " <a href="#"><i>SCRIPT Standard Implementation Guide</i></a> ". See " <a href="#"><i>Specialized Standard Implementation Guide</i></a> ".

## Appendix A. Standards/Guides/Formats No Longer Maintained or Supported

### ***NCPDP Operating Rules For the X12 835 Transaction and EFT in Pharmacy Industry***

This document provides the necessary business rules and guidelines used in pharmacy industry exchanges for the consistent implementation of the X12 Standards for Electronic Data Interchange Technical Report 3 (TR3) – Health Care Claim Payment/Advice (835) version 005010X221A1 that are not defined by a standard or its implementation specifications as adopted..

### ***Compound Transaction Implementation Guide***

The Compound Transaction Implementation Guide was intended to provide practical guidelines for software developers throughout the industry and to ensure a consistent implementation of the standard throughout the industry.

For information about implementing Compound Transactions in Telecommunication Standard Version 5 and above (or Batch Standard Version 1 Release 1 and above), please see the Telecommunication Implementation Guide Version 5.1, Version 5.6, and the Version 5 Editorial document.

#### **Version 2 Release 0 - October 1997**

The Compound Transaction Implementation Guide Version 2 was for the implementation of the Telecommunication Standard Version 4 Release 0.

**Component of the Telecommunication Standard Version 5 Release 6 – August 2002. The Compound Transaction Implementation Guide was incorporated into the Telecommunication Standard Version 5.6 and is no longer a separately supported document.**

### ***Diskette Standard Format***

The Diskette Billing Format was intended for processing prescription drug claims via eight-inch diskette. The billing format does not attempt to define the methodology used to create and submit floppy disks for submission to claims processors. The format does address an industry accepted standard format for billing and reimbursing prescription drug claims for pharmacy users. The diskette format incorporates standard data elements that have been defined by NCPDP.

#### **Version 2 Release 0 - September 1993**

**The Diskette Standard Format has not been updated since 1993 and is no longer supported.**

### ***Member Enrollment Standard and Implementation Guide***

The introduction of managed care concepts to Pharmacy Management Organizations (PMOs) required various business entities to actively monitor and support the flow of member eligibility information in an accurate and timely manner. The successful transfer and maintenance of this eligibility data provides the foundation for cost containment by limiting claim payment liability.

Eligibility information originates from a large variety of sources due to the diversity of companies providing prescription benefits in their medical insurance coverage programs. The record formats, and data elements supplied by the eligibility source provide a unique challenge to PMOs in the maintenance of these enrollment files.

This Standard was designed in a segment architecture to allow for variation dictated by the business partners using this information. The format is intended to be easily implemented, and provides flexibility for modifications based on new requirements for changes in technology (i.e., ANSI X12 implementation). NCPDP recommends the use of this Standard for the transfer of enrollment information between business entities and enrollment administrators. The Member Enrollment Standard Format v2.0 supports the Telecommunication Standard Version 5.0.

**Version 2 Release 0 - June 2000**

**The Member Enrollment Standard and Implementation Guide was last updated in 2000. With the naming of the X12 834 in HIPAA as the standard for Enrollment and Disenrollment, maintenance of the NCPDP Member Enrollment Standard was discontinued and is no longer supported.**

***ORDUR Application Manual***

The purpose of this manual is to facilitate the performance of ORDUR as a component of an ECM system because inappropriate drug therapy can cause patient injury leading to the provision of additional health care services resulting in increased total health care expenditures. In an attempt to solve this problem, the U.S. Congress enacted federal legislation in 1990 that requires pharmacy providers that participate in state Medicaid programs to perform prospective drug utilization review (DUR) and to provide patient counseling before each Medicaid prescription is dispensed. Presumably, prospective DUR can identify and prevent drug therapy problems, using various drug, patient and provider databases that make up the DUR system. The Omnibus Budget Reconciliation Act (OBRA '90) required that outpatient prospective DUR be performed for all Medicaid patients by January 1, 1993. Under OBRA '90 and HCFA's guidelines, prospective DUR can be performed manually by the dispensing pharmacist or physician, as a component of his store's computerized drug delivery and screening software, or through an on-line real-time drug utilization review (ORDUR) program administered via a data modem by a third party claims processor.

**Publication Date - October 1995**

NCPDP responded to this legal mandate by developing an ORDUR manual to be used with the Telecommunication Standard Version 3 Release 2.

**Component of the Telecommunication Standard Version C Release 2 – June 2006. The ORDUR Manual was incorporated into the Telecommunication Standard Version C.2 and is no longer a separately supported document.**

***Payment Reconciliation Payment Tape Format***

The document is intended to provide guidance for the reconciliation of payments for claims. The NCPDP 3.0 Payment Reconciliation Standard defines the layout for the claims payment tape used to convey payment, adjustment, rejection, or the pending of submitted claims transactions by the processor/payer and the pharmacy services provider. This format supports the Telecommunication Standard Version 5.0.

**Version 4 Release 0 - January 2002**

**The Payment Reconciliation Payment Tape Format was last updated in 2002 and is no longer maintained or supported.**

***Prior Authorization Implementation Guide***

With the advent of federal and state Health Care Reform initiatives, NCPDP has developed a Prior Authorization Transaction Standard. To date, the prior authorization process has largely been a manual process for the pharmacist, the medical consultant, the processor and the client. The benefits of the standard include: the use in an interactive environment with either an immediate response or delayed response; includes the current claim format with the addition of the prior authorization fields in the optional portion

for ease of implementation as well as allowing for the adjudication of the claim; eliminates the majority of paper prior authorizations and provides a standardized format for submittal of prior authorizations

For information about implementing Prior Authorization Transactions in Telecommunication Standard Version 5 and above (or Batch Standard Version 1 Release 1 and above), please see the Telecommunication Implementation Guide Version 5.1, Version 5.6, and the Version 5 Editorial document.

**Version 1 Release 0 - June 1996 (Revised April 2000)**

The NCPDP Prior Authorization Transaction Implementation Guide Version 1 is intended to provide a practical guideline for software developers throughout the industry as they develop and implement the Telecommunication Standard Version 3.4.

**Component of the Telecommunication Standard Version 5 Release 6 – August 2002. The Prior Authorization Standard was incorporated into the Telecommunication Standard Version 5.6 and is no longer a separately supported document.**

***Professional Pharmacy Services (PPS) Implementation Guide***

The document is intended to support the efficient documentation and transmission of information related to professional services provided by pharmacists.

The adoption and use of this standard in the industry will result in several beneficial effects, including (1) improved quality and continuity of care delivered to patients; (2) enhanced accountability of pharmacists and pharmacy provider organizations to their clients, and (3) the creation of an electronic documentation and billing infrastructure to support the creation of efficient compensation mechanisms for the delivery of professional services by pharmacists to their patients who are enrolled in third-party pharmacy service benefit plans.

**Version 1 Release 0 approved as a guide to be used with the Telecommunication Standard Version 3 Release 2.**

**Component of the Telecommunication Standard Version 5 and above - November 2003. The PPS Implementation Guide was incorporated into the Telecommunication Standard Version B.0 and above and is no longer a separately supported document.**

***NCPDP-HL7 Electronic Prescribing Coordination***

This document provides a consistent mapping that can be used to improve patient safety and enable semantic interoperability between e-prescribing standards by NCPDP and HL7. The document correlates relevant portions of the NCPDP SCRIPT Standard Version 4.2 and above and the HL7 Version 2.3 through 2.6 to facilitate e-Prescribing messages for new prescriptions, changes to prescriptions, refills (renewals), cancellation of a prescription, compliance notification, and the sharing of medication history information. The NCPDP SCRIPT Standard is the messaging standard used in the US for communicating prescriptions and related information electronically between prescribers, community pharmacies, and payers. HL7 medication orders are used by healthcare organizations, such as hospitals and acute care facilities, for both inpatient and outpatient information. This document is considered sunsetted.