

November 2011 Work Group Recaps:

Work Group 1 Telecommunication

Ballots:

- Ballot WG010052 Telecommunication D.9. The ballot was valid at 60% of the consensus group voted. There were negative and affirmative comments. The comments were adjudicated. The submitter of the negative comment was satisfied with the adjudication of the comment and changed the vote to affirmative. The ballot will proceed to the Board of Trustees for approval after the required appeal period.

DERFs (see DERF Resolution at

http://www.ncpdp.org/members/members_wg_info.aspx?wgid=wgmc):

- DERF 001020 This DERF requests "to add the Transaction ID to the CD record in order to accurately link the CD back to the DE record (Post Adjudication Standard)". The DERF was pended in August. The DERF was denied based on the recommendation of the Post Adjudication Task Group.
- DERF 001028 This DERF requests "to Standardize and harmonize demographic field lengths across multiple standards and adopt a rule for field lengths going forward. (WG1 Standards)". The DERF was approved with no modifications.
- DERF 001030 This DERF requests "Diagnosis Codes that can be sent in a SCRIPT NEWRX, REFRES or CHANGERES should be limited to ICD-9 or ICD-10. This would remove Medical Economics, Medi-Span and First DataBank as valid qualifiers as they are not as widely used and known by all." This DERF was assigned to WG11, but WG11 discussed making all diagnosis code use consistent, which impacts Telecom and other standards. So WG1 was asked to review and provide a recommendation. WG1 pended the DERF with a formation of a task group to look at this more closely.
- DERF 001032 Emergency ECL 000098 This DERF requests "to add a new value to the Prescriber ID Qualifier field 466-EZ to identify a foreign prescriber." The DERF/ECL was recommended for MC approval with modifications.
- DERF 001037 This DERF requests "to sunset Field 433-DX Patient Paid Amount Submitted." The DERF was approved with modifications.
- DERF 001039 ECL 000100 This DERF requests "to add Average Acquisition Cost (AAC) as a value for 423-DN Basis Of Cost Determination, 490-UE Compound Ingredient Basis Of Cost Determination, 522-FM Basis Of Reimbursement Determination." The DERF/ECL was recommended for MC approval with modifications including this DERF/ECL becoming an Emergency ECL.
- DERF 001040 ECL 000101 This DERF requests "to remove value of "83" from the Telecommunication Standard's Reject Codes." The DERF/ECL was recommended for MC denial.
- DERF 001041 ECL 000102 This DERF requests "formatting changes to Appendix A of the ECL for Field 511-FB." The DERF/ECL was recommended for MC approval with no modifications.
- DERF 001042 Emergency ECL 000103 This DERF requests "to add new Telecommunication Reject Code, Submission Clarification Code, and Approved Message Code values to support the communication flow between the payer and the provider with regards to prescriber ID validation." The DERF/ECL was recommended for MC approval with modifications.
- DERF 001043 ECL 000104 This DERF requests "to add new Reject Code for 340B N1." The DERF/ECL was recommended for MC approval with modifications.

Old Business:

- **NCPDP SNIP Committee** is awaiting the National Health Plan ID regulations. Due to industry concerns about HIPAA readiness on January 1, 2012, SNIP will convene conference calls to discuss evidence on lack of readiness and formal questions that would become a letter from NCPDP to HHS.

Task Groups:

- The **Telecommunication FAQ Task Group** brought forward the questions received that the task group had discussed.

- The **Coordination of Benefits Task Group** brought forward the questions received that the task group had discussed.
- The **Financial Information Reporting Task Group** did not meet this quarter.
- **Tax Advantage Accounts Task Group** is working on a transaction exchanges. The task group needs industry input on the topic, else it will be disbanded.
- **Information Reporting Problems Task Group** is building a white paper on the problems in exchanging enrollment data that affect claims and information reporting. The task group has a subgroup that completed work on an ADAP issue, and a subgroup discussing the use of Reject Codes in Information Reporting exchanges.
- The **Post Adjudication Task Group** – Met this quarter to discuss recommendations on DERF 001020.
- The **Information Reporting Transition to D.0 Task Group** did not meet this quarter. The task group was disbanded.
- The **Audit Task Group** met to discuss implementation of the standard and ECL values in discrepancy codes. They provided input to NCPDP testimony to NCVHS.
- The **Safe Use Processing – REMS Task Group** is working on the development of an intermediary segment to be exchanged. They will submit a DERF for the enhancements to the Telecommunication Standard.
- **Demographic Field Length Task Group** met and discussed the recommendations of DERF 000989. They submitted recommendations for DERF 001028 for WG1 standards.
- **3% Withholding Task Group** is on hold pending regulations status on Section 3402(t) Withholding and % withholding rule for government payments to contractors.

New Business:

- A **Diagnosis Code Task Group** was formed.
- A **Definition of a Valid Prescriber Task Group** was formed.
- The attendees did not have time to discuss a new name for WG1 based on the survey. It will be added to the February agenda.

Work Group 2 Product Identification

Old Business:

- Received Industry and Government updates.
- An update on the joint WG11 and WG2 meeting was provided.

Task Groups:

- The **Billing Unit Standard Marketing Task Group** is working to assure that NCPDP has a presence at conferences that attract pharmaceutical manufacturers and where the billing unit standard will impact this sector of the business. The 101 NCPDP Billing Unit Standard work shop was given by Kay Morgan & Linda Schock at the IIR Medicaid Drug Rebate conference in September 2011. In the comments submitted to CMS on the **Draft Affordable Care Act FULs and Weighted AMPs** of October 19, 2011, the Healthcare Distribution Management Association (HDMA) recommended that CMS move away from its historical unit of measure and require all manufacturers to convert to the use of the NCPDP Billing Unit Standard.
- The **Structured Product Labeling Activities Task Group** tracks the activities of the SPL, offers suggestions to improve access and usability of the FDA Structured Product Label and Electronic Drug Listings, and monitors the work of the Guiding Coalition for feedback to the WG. This task group met during the WG meeting. The Coalition has sent nine letters to the FDA and is working on letters regarding Marketing Categories, Labeling/Reporting of Inner Packs, Manufacturer Information Conformance and Freeness (Absence of Ingredients). They continue to collaborate with the HL7 SPL Leadership Team/SPL Working Group regarding issues with “Last Marketing Date/Marketing End Date”, “June/December Updates of the SPL” and the “SPL Validation Process”. The process to add the Billing Unit Standard to the SPL Indexing Files has been defined and the letter of agreement between NCPDP and the FDA was approved by NCPDP and has been sent to the FDA for review.

- The **Product Review and Billing Unit Exception** is reviewing the exceptions within the Implementation Guide and issues that result from changes to existing products or release of new products.
 - Reviewed six QUIC forms
 - Reviewed and finalized billing units of three products.
 - Worked on the process for billing unit discrepancies for the Guiding Coalition.
 - Reviewed OTC liquid products that have different package size amounts caused primarily by ounces to mL conversions. Will continue to look at example labels in an effort to see how to address and resolve differences with billing quantities on a company by company basis.
 - Continues work on modifications to the Billing Unit Standard to show that adapters, devices, etc. are not counted and should be excluded. A DERF will be submitted for review at the February 2012 WG meetings.
- The **NCPDP Product Identification Standard Task Group** has begun work on an NCPDP Product Identification Standard for guidance to the FDA. A standard template was developed by Karen Eckert and divided into 5 sections with leads and co-leads assigned to each. Each subgroup has begun work on their assigned sections with the goal to have the entire task group review the draft standard by May 2012.
- The **SPL REMS Requirements Task Group** is to gather the data needed to develop a template for pharmaceutical manufacturers to use in submitting electronically all the components for risk evaluation and mitigation strategies (REMS) drugs to a central repository (DailyMed) via FDA's Structured Product Labeling system. This task group developed a draft schema and which is being shared with various stakeholders for comment:
 - September 22, 2011 conference call with the SPL Working Group Leadership Team. This team is comprised principally of representatives from the pharmaceutical industry with SPL expertise as well as other SPL experts and downstream users
 - October 3, 2011 meeting with Stuart Nelson and John Kilbourne, National Library of Medicine (NLM) Medical Subject Headings group about preferred approach for SPL pathway from DailyMed's perspective
 - October 6, 2011 conference call with Keith Thomas, Infrastructures for Information, reviewing adaptability of HL7 SPL standard to accommodate REMS data
 - October 11, 2011, Tom Bizzaro, Michele Davidson, Gerry McEvoy, and Ed Millikan were panelists in a session on "Possible Uses of SPL in REMS" as part of a DIA workshop on the "Future of SPL"
 - November 3, 2011 follow-up conference call with the SPL Working Group Leadership Team"

New Business:

- QUIC Form Review:
 - **#201114 Lidocaine-Hydrocortisone Cream Kit (NDC 13925-157-14 & 13925-157-20, 13925-163-20, 13925-164-20)** - The billing unit should be one EACH KIT. NDC 13925-157-14 stays as grams since this NDC has no wipes and therefore is not a kit.
 - **#201115 Lazanda Nasal Spray (NDC 51772-311-01 and 51772-311-04 (100mcg) & 51772-314-01 and 51772-314-04 (400mcg))** - The billing unit should be 1 EA for the single spray bottle and 4 EA for the box of 4 per Sections 5.2.1 and 5.1.12 of the Billing Unit Standard.
 - **#201116 Bydureon (NDC 66780-0226-01)** – The billing unit should EACH per Section 5.1.2 of the Billing Unit Standard and the billing quantity for the entire carton is 4.
 - **#201117 Glassia 1 gm/50 mL (NDC 00944-2884-01)** – The billing unit is EACH per Section 5.1.7 of the Billing Unit Standard.
 - **#201118 Quillivant NDCs (24478-0200-10, 24478-0200-20, 24478-0200-30)** - The billing unit should be mL per Section 5.2.3 of the Billing Unit Standard.

- **#201119 Vacuant Mini Enema (NDC 58980-0401-05)** – The billing unit should be mL per Section 5.1.2 of the Billing Unit Standard.
- **#201120 Erwinaze (NDC 57902-0249-05)** - The billing unit should be EACH per Section 5.1.2 of the Billing Unit Standard.

Work Group 2 Product Identification/WG11 ePrescribing and Related Transactions

New Business:

- In order to assure that the SCRIPT Standard provides the appropriate information in the transfer of electronic prescription information between the prescriber and dispenser NCPDP facilitated a discussion of these issues on eprescribing in a joint meeting of Work Groups 2 and 11 at the November 2011 work group meetings. The goal of this meeting is to determine areas of concern and to develop a means to address those concerns.
- A presentation on the Research, Findings, and Actions for Data Quality on new prescriptions was given by Surescripts.
- There was discussion about the format of the Drug Description field.
- A **Drug Description Task Group** was formed jointly between WG11 and WG2.

Work Group 3 Standard Identifiers

Work Group 3 did not meet this quarter.

Work Group 7 Manufacturer Rebates

Old Business:

- The WG9 340B Task Group will prepare an educational letter to State agencies regarding the two methods for reporting 340B claims in the Telecommunication Standard vD.0.
- WG7 was represented at the Western Medicaid Pharmacy Administrators Association Conference and presented information on the Manufacturer Rebate Standard.

Task Groups:

- The **Reference Guide Task Group** reported on the updated version of the Rebate Reference Guides to include three new topics approved in August (Benefit Stage Qualifier, Managed Medicaid Indicator, and Market Basket File Timing).
- The **Medical/Biologics Task Group** reported on current and future activities to market the new Medical Rebate Data Submission Implementation Guide vØ1.ØØ.
- The **Medicare Part D Coverage Gap Task Group** continues to discuss and work through the issues related to the coverage gap process.
- The **CMS Task Group** did not meet this quarter.
- The **Formulary-E-Prescribing & Tracking Task Group** provided a revised draft white paper and is seeking volunteers to edit/write sections of the white paper for review at the February Work Group meeting.
- The **Rebate Standard Update Task Group** reported on the assessment of the recommended changes to the Manufacturer Rebate and Medical Rebate standard in order to standardize the demographic fields in the Data Dictionary.

New Business:

- WG7 discussed outcomes based contracting and the impact on the WG7 standards.
- Members were asked to submit suggestions for a potential work group name change to be reviewed at the February Work Group meeting.

Work Group 9 Government Programs

DERFs (see DERF Resolution at

http://www.ncdp.org/members/members_wg_info.aspx?wgid=wgmc):

- DERF 001038/ECL 000099 requests "Correction of definition of the ECL description on Field 369-2Q Additional Documentation ID Type to reflect current CMN values." WG9 recommended approval of the DERF.
- DERF 001043/ECL 000104 requests "Pursuant to Appendix I of the Telecommunication Standard Versions D.6 and higher, an NX Transaction can be used to identify that a previously adjudicated BX Transaction was filled, in whole or in part, with Section 340B

drugs. This process is further described in the Section 340B Information Exchange Reference Guide Version 1.0. Should a processor be unable to associate an NX to a corresponding BX Transaction the processor may reject that transaction. At present there is no rejection code to indicate this situation that consistently describes the reason for rejection to the submitter.” WG9 recommended approval of the DERF with modifications.

Old Business:

- WG14’s Best Available Evidence and Hospice Task Groups provided updates on their work.
- WG9 reviewed information from eight states that responded to the HIPAA Readiness Survey distributed in late August.
- WG9 received updates on Healthcare Reform, Medicare Part D and Medicaid activities.

Task Groups:

- The **Prescription Monitoring Program (PMP) Task Group** did not meet this quarter. Bryan Lawson, McKesson, is the new task group leader.
- The **340B Task Group** submitted 001043/ECL 000104 (see DERFs above) and reported on the task group’s recommendation to prepare an educational letter to State agencies regarding the two methods for reporting 340B claims in the Telecommunication Standard vD.0.
- The **CMS Part D LTC Dispensing & Impact to Other Payer Task Group** reviewed the outstanding issues for downstream payers and requested input from WG9.
- The **Medigap ID Task Group** did not meet this quarter.
- The **Dual Eligible Recipients and Medicare Advantage Plans Task Group** did not meet this quarter.
- The **Medicaid Subrogation FAQ Task Group** did not meet this quarter.

Work Group 10 Professional Pharmacy Services

DERFs (see DERF Resolution at

http://www.ncpdp.org/members/members_wg_info.aspx?wgid=wgmc):

- WG10 participated in WG11 on DERF 001031 requesting to “Limit the number of loops allowed in the schema for the Structured SIG element from infinity to 10.” The recommendation was made and the submitter agreed to withdraw the DERF for inclusion of this item in a DERF from the Industry Sig Task Group. See WG11 recap for outcome.
- DERF 001036 requesting “Enhancements to the MTM (Medication Therapy Management) transactions” was approved.

Old Business

- It was reported that the 2011 Scope and Goals were approved and published.

Task Group Reports

- The **Structured and Codified Sig Task Group** has created “Clarifying Free Text” field for segments which is to be used only when information cannot be codified. They met with the XML and Modeling & Methodology Task Groups to discuss codes/code descriptions and creation of XML version. They are working on updating examples and FAQs. They will finalize the guide and turn it over to Modeling & Methodology and XML task groups for development of XML schema (relying on these groups for technical structure and consistency with other NCPDP standards, i.e. use of code descriptions).
- The **MTM Communications Task Group** has added the identification of service to the request for service from a payer to a pharmacy. The Task Group decided to evaluate and revise the Transaction to include “and Other Patient Care Services”. These updates are in DERF 001036. The Task Group is outreaching to entities exchanging MTM reports for data requirements to be used in a standard reporting set.
- The **Acetaminophen Best Practices Task Group** reported that the “NCPDP Recommendations for Improved Prescription Container Labels for Medicines Containing Acetaminophen” white paper has had a lot of traction. They are preparing for a focus group in the near future, and will continue to work with entities on the use of the warning labels.

New Business:

- There was no update on the ISMP/Patient Safety initiative and no legislative updates at this time.
- A presentation on the Joint HL7-NCPDP Pharmacist/Pharmacy Provider FM Profile R2 was provided.

Work Group 11 ePrescribing & Related Transactions

Ballots:

- Ballot WG110049 SCRIPT 2011xx# (correction of the field name to MultipleSiteOfAdministrationModifier). The ballot was valid at 60% of the consensus group voting. There were no negative and no affirmative comments. The ballot reached 75% approval. The ballot will proceed to the Board of Trustees for approval.

DERFs (see DERF Resolution at

http://www.ncdp.org/members/members_wg_info.aspx?wgid=wgmc):

- DERF 001029 requests "Change the Denial Reason Code in the REFRES Response Segment for Approved with Changes to Approval Reason Code." The DERF has been withdrawn.
- DERF 001030/ECL 000097 requests "Limit the diagnosis codes that can be sent in a SCRIPT NEWRX, REFRES or CHANGERES to ICD-9 or ICD-10." The DERF/ECL was recommended for approval to MC with modifications, but also requested WG1 to review.
- DERF 001031 requests "Limit the number of loops allowed in the schema for the Structured SIG element from infinity to 10." The DERF/ECL was withdrawn as the request will be discussed and the recommended number included in the DERF which the WG10 Industry Sig Task Group will bring forward in February.
- DERF 001033 requests "Eliminate the empty XML tags to allow the schema to enforce mandatory requirements." The DERF was approved with no modifications.
- DERF 001034 requests "Create a separate list for each ID type (Prescriber, Pharmacy, Patient, Payer, Facility and Medication (Prior Authorization) so the logical values can be enforced through the schema. Change the ID type from a choice list to a sequence and change Medication Prior Authorization ID type to match the other ID types." The DERF was approved with no modifications.
- DERF 001035 requests "In the XML format the Place Location field is called Address Line 2 making the Place Location Qualifier no longer relevant." The DERF was approved with no modifications.

Old Business:

- An industry update was provided on NCVHS Subcommittee on Standards and Security, CMS (eprescribing).
- An industry update was provided on DEA eprescribing for controlled substances and implementation activities. A presentation was provided by Surescripts.
- An update was not available on meaningful use.
- An update was provided on the Electronic Prior Authorization Focus Group held in October. The **Electronic Prior Authorization Workflow to Transactions Task Group** was re-formed.
- There was conclusion information on the values for a Military ID.
- There was conclusion information about Iowa regulation of inclusion of an agent's title.
- There was a report from the joint meeting with WG2 to discuss the consistent format of the DrugDescription field in SCRIPT.
- There was discussion of recommending a new sunset date for SCRIPT 8.1 to HHS, but no real proposals at this time.

Task Groups:

- The **Formulary and Benefit Task Group** did not meet this quarter but individuals are working on the comparison of version 1 to version 3.
- **XML Task Group** met this quarter to build recommendations on tightening up the XML schema so errors can be caught before application receives data. DERFs 001033, 001034, and 001035 were brought forward.
- **Clinical Health Information exchange between Pharmacies and Prescribers Task Group** is on hold.

- **NCPDP/HL7 Eprescribing Functional Profile Task Group** discussed the HL7 release 2 changes to the functional profile. The task group was renamed to **NCPDP/HL7 Pharmacist Functional Profile Task Group**.
- The **Prescription Requirements Task Group** did not meet this quarter. They will discuss Spanish Sigs.
- The **Central Fill Task Group** is continuing the work of a new set of transactions.
- An update was given from the **WG10 Industry Sig Task Group**. See the WG10 report.
- An update was given from the **WG14 LTCPAC ePrescribing Task Group**. They discussed facility annotations to an electronic prescription that are needed by the pharmacy, and workflow associated to the physician – facility – pharmacy model.
- **REMS and ePrescribing Task Group** is building transaction actions between pharmacy and prescriber, prescriber and manufacturer for safe use programs, the data elements needed, and working through an implementation guide.
- The **RxFill Task Group** is reviewing the existing implementation guidance for RxFill. They met to review the existing guidance.
- **Demographic Field Length Task Group** did not meet, but will next quarter to analyze the recommendations of DERF 000989 for WG11 and WG10 standards.
- **FMT Code Set Mapping Task Group** is reviewing the original mapping of NCPDP old proprietary code sets for 4 fields to FMT, to provide mapping consistency when needed.

New Business:

- NCPDP has signed an MOU with SAFE-BioPharma. NCPDP is looking for a limited number of members who could participate on conference calls and work on collaborations.
- There was brief discussion about the use of the Refill Response transaction.
- A **Drug Description Task Group** was formed to work on discussion items from the Joint WG2/11 session.

WG14 Long Term and Post Acute Care (LTPAC)

Old Business:

- A report on the LTC Resident Report White Paper was provided.
- Industry/Regulatory updates were provided which included HIPAA and NCVHS.
- Co-Chairs reported that the WG Scope and Goals were approved by the Board of Trustees and have been posted on the NCPDP website.

Task Group Reports:

- The **ePrescribing Task Group** developed business use cases for additional information that needs to be added to electronic prescriptions by the facility prior transmitting to a pharmacy. They have been working on the flow of electronic prescription messages and will continue their work for allowing messages to flow through the facility to help with coordination of care.
- The **LTPAC Current Billing Issues Task Group** provided a report on the activities during the last quarter. They have received many questions on issues found for LTPAC in the transition to the NCPDP Telecommunication Standard Version D.0.
 - The WG9 Part D LTC Dispensing & Impact to Other Payer Task Group provided a recap of their activities as related to the short cycle dispensing.
- The **LTC Utilization Reporting Task Group** presented an updated white paper based on the technical changes required for the 2011 reporting year which was approved by the WG.
- The **Return Reporting Task Group** –This task group reported the results of a survey conducted to help in the creation of a solution for the reporting of unused medications in 2013.
- The **Automation in LTPAC Task Group** presented a white paper on the use of the HL7 messages in pharmacy which was approved by the WG. The task group has been placed on hold.
- The **Hospice Task Group** has presented a list of questions to CMS. The task group is on hold until CMS provides new guidance on Hospice, the industry has additional questions or CMS asks for clarification on the questions provided to them.

- The **Best Available Evidence (BAE) Automation Task Group** reported they have been working on a set of questions for State Medicaid dealing with the update of beneficiary information to CMS. The task group is seeking help from the newly formed Medicare-Medicaid Coordination Office. It was reported that CMS is looking at the ASC X12N 270/271 transaction for a possible accepted form for collection of BAE.

New Business:

- No new business was discussed.

WG16 Property & Casualty/Workers Compensation

Old Business:

- Work Group Scope and Goals were approved by the Board of Trustees.
- A progress report was provided on the second round of the letter introducing NCPDP and WG16 to the Property/Casualty and Workers Compensation sector. To date responses have been received from two states.
- International Association of Industrial Accident Boards & Commissions (IAIABC) liaison update was provided.

Task Group Reports:

- The **Legislative/Regulatory Monitoring and Education Task Group** provided an update on state regulatory and legislative initiatives affecting processing and reimbursement of Workers' compensation claims. Several states are making efforts to gain control of physician repackaging of drugs.
- The **Billing and State Reporting Task Group** provided an update. California rules for e-billing, paper billing and EDI reporting are now in effect and some issues have surfaced. The task group will be reviewing state requirements for reporting the original NDC of repackaged drugs and will submit a DERF if needed to define a location to report the information. They will also develop guidance (either a fact sheet or Q and A) regarding use of the UCF.
- The **Webinar Task Group** provided an update on the progress indicating they are about one third complete. During the development process of the three planned webinars they realized that there was a good deal of duplication and overlap and are therefore consolidating the information into a single presentation. The task group appealed for subject matter experts to assist in the completion of the slides and scripts.

New Business

- The California implementation was discussed. There is an issue with the UCF version that is to be used. The California regulation specifies version 1.0 but it was updated to 1.1 prior to the first printing. The state will be contacted with a request to update the requirement. There is also confusion among entities not previously billing on standard formats. Further guidance is needed.
- The implementation of the Texas closed formulary was discussed. To date there have been few problems. It was noted that the state provided excellent learning opportunities for the prescribers that appear to have been successful. The crucial point will be the transition of the established drug regimens to the new formulary.

WG17 Pharmaceutical Pedigree and Traceability

Old Business:

- Board of Trustee approval of the WG17 Scope and Goals was announced.
- An update was provided on the activities and progress of the Supply Chain Consortium.
- An update was provided on activities in GS1 and GS1 US regarding traceability, pedigree and related issues.

Task Group Reports:

- The **Regulatory Tracking/Pedigree Task Group** met to review and comment on the Federal Register posting of the Office of Management and Budget entitled "United States Government Inter-Agency Anti-Counterfeiting Working Group: Request for Public Comments Regarding Strategy to Eliminate Counterfeit Products from the United States Government Supply Chain". They will be meeting in the coming weeks to respond to a proposed rule on bar codes: [Docket No. FDA-2011-N-0719] Bar Code Technologies for

Drugs and Biological Products; Retrospective Review Under Executive Order 13563; Request for Comments. They are also monitoring proposed legislation.

New Business:

- A presentation entitled "SMART (Standard Manufacturer Attribute Reference for Traceability Code) Drug Traceability" was provided by Shay Reid of AmerisourceBergen. By utilizing currently deployed technology and business practices this proposed solution would allow for a transition to a first phase of traceability with little disruption and modest costs.
- The work group discussed the possibility of developing an Annual Conference presentation jointly with WG2 and WG7 and approved the concept. Meetings will be scheduled and members will be asked for content and review.

WG45 External Standards Assessment, Harmonization and Implementation Guidance

Old Business:

- A WEDI SNIP 835 Sub Group update was given reporting they are primarily focused on overpayments and refunds and the business scenarios for overpayment.
- An X12 update was given reporting that there are several TR3's for version 6020 out for public comment. WG45 will be reviewing the 835 and 834 guides.
- Inter SDO Update was provided. The X12 Liaison has received approval on all documents presented to X12 for approval.
- An update was provided on the status of the CAQH CORE Operating Rules for the 835.
- The Co-Chairs reported the WG Scope and Goals were approved by the Board of Trustees and have been posted on the NCPDP website.

Task Groups:

- The **Central Pay Task Group** documentation of balance forward processing examples has been approved by X12 and is now posted on the WG45 webpage. The task group was disbanded.
- The **Document Revision Task Group** reviewed and modified the Pharmacy Reference Guide that was approved in May. The task group received approval of the reference document which will now go through the Inter-SDO process prior to being posted on the NCPDP website.
- The **834/835 FAQ Task Group** reported the questions approved by the WG at the August meeting were approved by X12 and have been posted on the NCPDP website. No new questions were received.
- The **835 White Paper Task Group** received approval from X12 and the White Paper has been posted on the NCPDP website. The task group was disbanded.
- The **DSMO Task Group** received one DSMO Change Request and presented a recommended response which was approved by the WG.
- The joint **WG1-WG3 3% Withholding Task Group** did not meet waiting on the outcome of some bills in Congress to repeal the IRS law. It was noted that HR 674 passed the House on October 27, 2011 and is now in the Senate.

New Business:

- A new task group was created to review the current guidance for LICS adjustments for the 5010 version of the 835.
- A new task group was created to review the WEDI EFT NPI Utilization Issues Brief.
- A new task group was created to review the ASC X12N 834 and 835 for version 6020 and provide comments from NCPDP.

MC Maintenance and Control

Ballots:

- WG010052 received negative, affirmative, object comments that were adjudicated by WG1
- WG110049 received no negative or objection comments.

Should negative votes change to affirmative or abstain and no appeals are received during the 30-day appeal period, ballots WG010052 and W110049 will be sent to the NCPDP Board of Trustees for approval.

DERFs/ECLs (see DERF Resolution

http://www.ncpdp.org/members/members_wg_info.aspx?wgid=wgmc

- MC Maintenance and Control reviewed 17 new and pended DERFs/ECLs (see WG1, WG9, WG10, and WG11 above). The DERFs approved at this meeting will result in:
 - One new ballot for the November 2011 ballot period
 - WG110050 for SCRIPT v201xxx# and Specialized v201xxx#
 - A new publication of the External Code List (ECL) and the Emergency Telecommunication ECL Value Addendum

Task Groups:

- The **Modeling and Methodology (M&M) Task Group** Work in progress:
 - Create a draft Telecom XML XSD by February 2012 meeting
 - By February, document a DITA architecture for publication at NCPDP
 - Define/document the proposed DERF process (i.e., model configuration management) by November
 - Begin to formally define the processes and standard operating procedures regarding modeling efforts. Resolve questions such as whether M&M performs all modeling on behalf of the WGs, or the WGs perform the modeling themselves and bring the results to M&M for approval. Do we need some kind of Architectural Review Board function?
 - Apply SIG grammar to current SIG type (mapping)
 - Create SIG UML/XML structure supporting grammar
 - Marketing - Create a communications plan for both internal NCPDP (and external?) audiences
 - Refresh roadmap/presentations
 - Create draft samples and scenarios that show what's possible
 - Continue work on the publication generation (based on BIRT)
 - Continue to determine metadata requirements for EDI and XML Implementation Guides
 - Build any required tools (UML plug-ins) for document generation; test IG production
 - Continue to refine the Domain Analysis Model (DAM)
 - Refine as needed the UML Profiles for NCPDP EDI and XML
 - Investigate desirability for NCPDP to pursue Service Oriented Architecture efforts with HL7, IHE, OMG
- The **Pharmacy Transport Task Group** has not met since the August 2011 WG meetings but is discussing other options for connectivity across all of healthcare with Galen of the MC Modeling Task Group. The task group will be reconvened between now and February to determine next steps.
- The **Education/Legislation and Regulations Task Group** held 7 calls to provide comments to:
 - ONC Advance Notice of Proposed Rulemaking (ANPRM) on Metadata Standards
 - Status: Completed and Posted on September 20, 2011
 - Patient Protection and Affordable Care Act; Standards Related to Reinsurance, Risk Corridors and Risk Adjustment
 - Status: Completed and Posted on August 24, 2011
- The **ECL Implementation Task Group** has not met but stands ready to address new issues/concerns related to the ECL implementation process that might arise.
- The **Standard Field Length Task Group** provided task group recommendations to WGs 1, 7, 9, 10 and 11. DERF submitted by WG1 that was pended awaiting review and recommendations from WG11 and WG7.

New Business:

- The attendees received daily Work Group recaps.
- DSMO Change Requests
 - Approved - 1160